

# Moduli of Computation

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# Modulus of Computation

## Definition

Let  $f : \omega \rightarrow \omega$ , denoted  $f \in \omega^\omega$ , and  $X \subseteq \omega$

- ▶  $f$  is a *modulus (of computation)* for  $X$  iff for every  $g \in \omega^\omega$  such that  $g$  dominates  $f$  point-wise ( $g \succeq f$ ),  $X$  is recursive in  $g$ .
- ▶  $X$  has a *self-modulus* iff  $X$  can compute a modulus for itself.

We will also consider point-wise domination for functions with finite domains ( $g \in \omega^{<\omega}$ ). Write  $g \succeq f$  to indicate that the domain of  $g$  is a subset of the domain of  $f$  and for every  $n$  in the domain of  $g$ ,  $g(n) \geq f(n)$ .

# Examples

recursively enumerable sets

## Example

If  $W$  is recursively enumerable, then  $W$  has a self-modulus:

$f : n \mapsto$  the stage at which  $W \upharpoonright n$  is completely enumerated

By similar means:

- ▶ if  $X$  is  $n$ -REA, then  $X$  has a self-modulus
- ▶ each of the canonical complete sets in the hyperarithmetical hierarchy has a self-modulus

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$\Delta_2^0$ -sets

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### Proof

- ▶ Let  $X(n, s)$  be recursive such that  $\lim_{s \rightarrow \infty} X(n, s) = X(n)$ .

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- ▶ Let  $f : n \mapsto s_n$ , where  $s_n$  is the least stage greater than  $n$  such that for all  $m \leq n$ ,  $X(m, s_n) = X(m)$ .

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  - ▶ Clearly,  $X \geq_T f$ .
  - ▶ Given  $g \succeq f$  and  $n$ , compute  $X(n)$  by, (1) finding  $s^* > n$  such that for all  $m \leq n$  and all  $s$  between  $s^*$  and  $g(s^*)$ ,  $X(m, s) = X(m, s^*)$  and (2) concluding  $X(n) = X(n, s^*)$ .



# Moduli in Action

Self-moduli are recursion theoretically useful.

- ▶ Permitting arguments:
  - ▶ construct sets  $X$  recursively in given recursively enumerable non-recursive sets  $W$
- ▶ Providing a reservoir of examples.
  - ▶ If  $X$  has a self-modulus, then  $X$  is not 2-random relative to any continuous measure.

# Finding Sets With Moduli

uniformity

## Proposition

*Suppose that  $X$  has a modulus  $f$ . There is a Turing functional  $\Phi$  and an  $f^* \succeq f$  such that for every  $g$ , if  $g \succeq f^*$  then  $\Phi(g) = X$ .*

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- ▶ Consider  $g$  generic for conditions  $(g_0, f^*)$  in which  $g_0 \in \omega^{<\omega}$  specifies finitely much of  $g$  and  $f^*$  is a function which subsequent values of  $g$  must dominate.

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- ▶ By  $\Sigma_2^1$ -absoluteness,  $f$  is a modulus for  $X$  in  $V[G]$ , so there is a  $\Phi_0$  and an  $f^*$  such that  $(\emptyset, f^*) \Vdash \Phi_0(g) = X$ .

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We say that  $\Phi$  is the uniform index for  $X$ .

# Finding Sets With Moduli

countability

## Corollary

*There are only countably many sets with moduli.*

## Proof

An  $X$  with a modulus is determined by its uniform index  $\Phi$  and there are only countably many  $\Phi$ 's. □



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definability

Theorem (Solovay)

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Suppose that  $X$  has a modulus and that  $\Phi$  is the uniform index for  $X$ . Then  $X(n) = i$  has a  $\Sigma_1^1$  description as follows.

$$X(n) = i \iff (\exists f^*)(\forall g_0 \in \omega^{<\omega}) \left[ \begin{array}{l} (g_0 \succeq f^* \wedge \Phi(n, g_0) \downarrow) \\ \implies \Phi(n, g_0) = i \end{array} \right]$$

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$X(n) \neq i$  is also  $\Sigma_1^1$ .

$$X(n) \neq i \iff (\exists j)[i \neq j \wedge X(n) = j]$$

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Hence,  $X$  is  $\Delta_1^1$ .



## Working From Sets With Self-Moduli

Every  $\Delta_2^0$  set has a self-modulus, hence there are a variety of examples.

- ▶ 1-generic
- ▶ 1-random
- ▶ complete extensions of Peano Arithmetic
- ▶ of minimal Turing degree

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What about examples which are not  $\Delta_2^0$ ?

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### Proposition

*Suppose that  $X$  has a self-modulus. Then either  $X$  is  $\Delta_2^0$  or  $X$  can compute a 1-generic set  $G$ .*

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## Proof

Let  $g^* \in \omega^\omega \leq_T 0'$  map  $n$  to the least  $s$  such that for all  $p \in 2^n$  and all  $e \leq n$ ,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$



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Any function not eventually dominated by  $g^*$  can compute a 1-generic set, details in next frame.

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Let  $f$  be the self-modulus for  $X$ . If  $f$  is eventually dominated by  $g^*$ , then  $X$  is  $\Delta_2^0$ . Otherwise,  $X$  computes a 1-generic set. □

# Working From Sets With Self-Moduli

building a 1-generic

Suppose that  $f$  dominates  $g^*$ . Compute a set  $G$  from  $f$  by recursion where  $G(s)$  is defined at stage  $s$  so as to move toward the condition meeting the highest priority  $\Sigma_1^0$  set visible in  $f(s)$  steps.

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$q \in W_e$  visible at  $f(s)$

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$q_1 \in W_{e_1}$  visible at stage  $f(s_1)$  with  $e_1 < e$

$q \in W_e$  visible at  $f(s)$

$G \upharpoonright s$  defined by stage  $s - 1$

## Non-iterative example

Thus far, we have obtained sets  $X$  with self-moduli using approximations to Skolem functions for  $\Sigma_1^0$  or  $\Delta_2^0$  definability, possibly iterated in a degree increasing manner.

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However, not every set with a self-modulus is of this type.



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However, not every set with a self-modulus is of this type.

### Theorem

*There is a non-recursive set  $X$  with a self-modulus such that  $X$  does not compute any non-recursive  $\Delta_2^0$ -set.*

# Non-iterative example

requirements

We build a  $\Delta_3^0$  function  $f$  and a partial recursive functional  $\Gamma$  to satisfy the following requirements.

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- ▶ If  $g \succeq f$ , then  $\Gamma(g) = f$ .
- ▶ For each  $\Phi$  and  $\Psi$ , either there is an  $n$  such that  $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$  or  $\Phi(f)$  is recursive.

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We construct a recursive sequence  $f_s \in \omega^{<\omega}$  and let  $f$  be the limit infimum this sequence.

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We simultaneously enumerate the functional  $\Gamma$  as a set of pairs  $(p, q) \in \omega^{<\omega} \times \omega^{<\omega}$ . Here, we mean that if  $(p, q) \in \Gamma$  and  $p \subset h$ , then  $q \subset \Gamma(h)$ . During stage  $s$ , we enumerate pairs  $(p, f_s)$  with an overarching requirement that if  $g \succeq f$  then  $\Gamma(g) = f$ .

# Non-iterative example

## Building $f$ and $\Gamma$

For every  $f_s$ , we maintain the possibility of later defining  $f_t$  so that  $f_s \subset f_t$ . For this, we need that if  $p \succeq f_t$  then  $f_s \subseteq \Gamma(p)$ , which we arrange as in the following picture.

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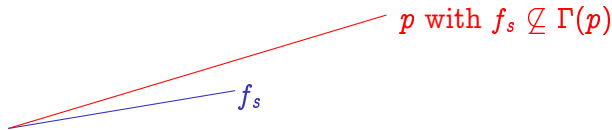




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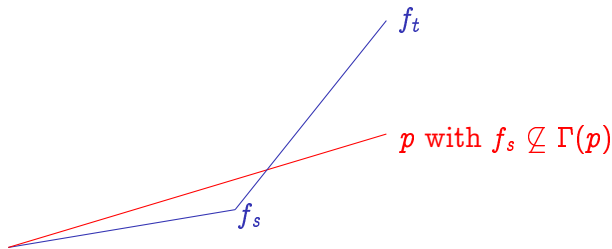
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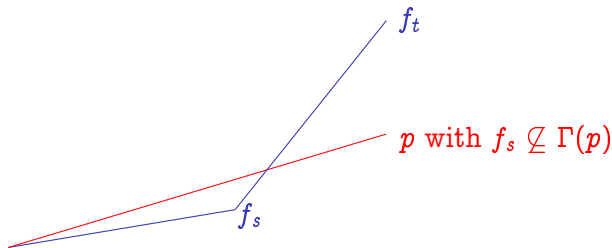
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We make moot the computations  $(p, q)$  enumerated into  $\Gamma$  during the interval  $(s, t)$  and acquire the obligation that  $p \not\preceq f$ .

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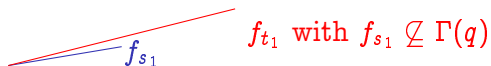


$f_{s_1}$

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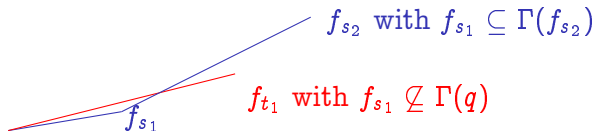
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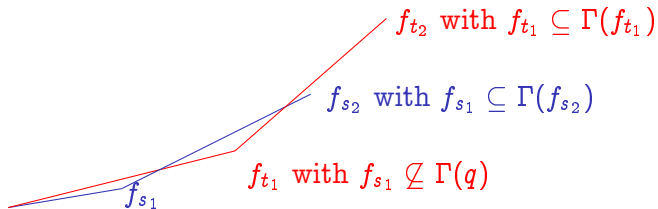
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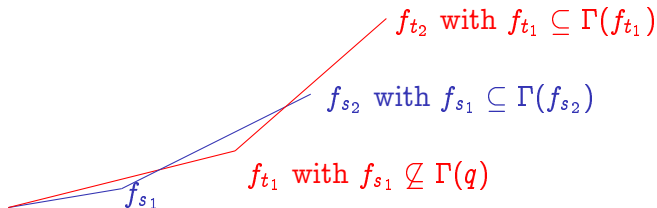




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To meet the requirement that  $\Gamma$  is total on every  $g$  such that  $g \supseteq f$ , we ensure that any two strings which are extended by infinitely many of the  $f_s$  are compatible.

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Ensuring that either  $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$  or  $\Phi(f)$  is recursive requires a  $\Pi_2^0$ -strategy. A priori, some aspects of the construction should be infinitary or  $f$  itself would be  $\Delta_2^0$ .

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Heuristic features of the strategy:

- ▶ Fix an initial condition  $q$ .
- ▶ Find a  $\Phi$ -split at argument  $m$  using conditions extending  $q * 0$ .
  - ▶ The strategy cannot simply alternate between the conditions in the split and ensure that  $\Phi(m, f) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$ .

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- ▶ Fix an initial condition  $q$ .
- ▶ Find a  $\Phi$ -split at argument  $m$  using conditions extending  $q * 0$ .
  - ▶ The strategy cannot simply alternate between the conditions in the split and ensure that  $\Phi(m, f) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$ .
- ▶ The strategy alternates between one of the conditions in the split and conditions extending  $q * n$ , where  $n > 0$ . Based on the behavior of  $\Psi$ , it settles upon an  $n$  and an  $r$  extending  $q * n$  so that  $\Phi(m, r) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$  and it returns to conditions extending  $r$  infinitely often.

# Non-iterative example

question

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*Suppose that  $H$  is  $\Delta_1^1$ . Does there exist an  $X$  such that  $X$  has a self-modulus and such that every set that is recursive in both  $X$  and  $H$  is recursive?*



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# Moduli of 1-Genericity

## Definition

$f \in \omega^\omega$  is a *modulus of 1-genericity* iff for every  $h \in \omega^\omega$ , if  $h \succeq f$  then there is a 1-generic set recursive in  $h$ .

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## Example

The function  $g^* \in \omega^\omega \leq_T 0'$  (encountered earlier) mapping  $n$  to the least  $s$  such that for all  $p \in 2^n$  and all  $e \leq n$ ,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$

is a modulus of 1-genericity. In fact, if  $g^*$  does not eventually dominate  $h$ , then there is a 1-generic set recursive in  $h$ . Hence, any such  $h$  is a modulus of 1-genericity.

# Moduli of 1-Genericity

other examples

## Example

If  $G$  is 2-generic, then  $G$  computes a modulus of 1-genericity. The function mapping  $n$  to the  $n$ th element of  $G$  is not eventually dominated by the  $\Delta_2^0$  function  $g^*$ .

## Example

There is an  $f$  such that  $f$  is not dominated by any recursive function and  $f$  is not a modulus of 1-genericity. Consider the self-modulus of a  $\Delta_2^0$  set of minimal Turing degree.

# Moduli of 1-Genericity

a 1-generic example

## Theorem

*There is a 1-generic set  $G$  such that  $G$  does not compute a modulus of 1-genericity.*

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## Theorem

*There is a 1-generic set  $G$  such that  $G$  does not compute a modulus of 1-genericity.*

Note, since  $\Delta_2^0$  sets have self-moduli,  $G$  cannot be  $\Delta_2^0$ .

We construct  $G$  as a limit infimum in the context of a (more involved) full-approximation priority argument like the previous one.

*Finis*