

# Perfect necklaces

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# Perfect necklaces

A necklace is the equivalence class of a word under rotations.

**Definition** (Alvarez, Becher, Ferrari and Yuhjtman 2016)

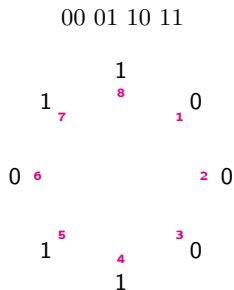
A necklace over a  $b$ -symbol alphabet is  $(n, k)$ -perfect if each word of length  $n$  occurs  $k$  times, at positions with different congruence modulo  $k$ , for any convention of the starting point.

The  $(n, k)$ -perfect necklaces have length  $kb^n$ .

De Bruijn circular sequences are exactly the  $(n, 1)$ -perfect necklaces.

## Example

All words of length 2 concatenated in lexicographical order, view it circularly.



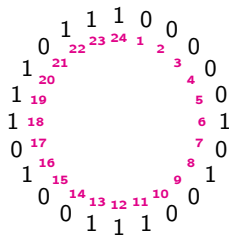
00 occurs twice (p:1,2);  
01 occurs twice (p:3,6);  
10 occurs twice (p:5,8);  
11 occurs twice (p:4,7)

Each word of length 2 occurs 2 times at positions with **different** congruence modulo  $n$ .

## Example

All words of length 3 concatenated in lexicographical order, view it circularly.

000 001 010 011 100 101 110 111



000 occurs three times (positions 1,2,3)

001 occurs three times (positions 4,9,14)

...

Each word of length 3 occurs  $n$  times at positions with **different** congruence modulo 3.

# The ordered necklace is perfect

## Definition

The concatenation of all words of length  $n$  over a  $b$ -symbol alphabet in lexicographic order is called the **ordered necklace** for length  $n$ .

**Proposition** (Alvarez, Becher, Ferrari and Yuhjtman 2016)

*The ordered necklace for length  $n$  is  $(n, n)$ -perfect.*

# Astute graphs

Fix  $b$ -symbol alphabet.

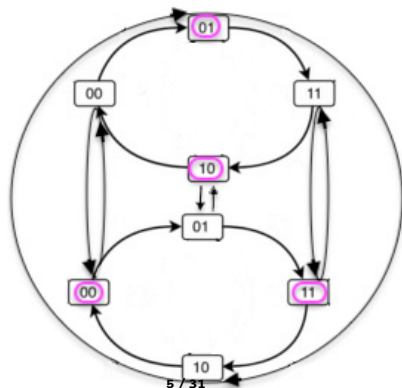
Consider the tensor product of the de Bruijn graph with a simple cycle.

The **astute graph**  $G_b(n, k) = (V, E)$  is directed, with  $kb^n$  vertices.

$$V = \{0, \dots, b-1\}^n \times \mathbb{Z}/k\mathbb{Z}$$

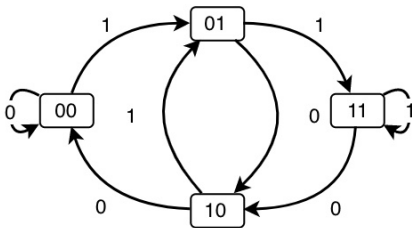
$$E = \{(u, m), (v, m+1) : u = a_1 \dots a_n, v = a_2 \dots a_n a_{n+1}\}$$

$$G_2(2, 2)$$



$G_b(n, 1)$  is the de Bruijn graph of words of length  $n$  over  $b$ -symbols.

$G_2(2, 1)$



## Perfect necklaces characterization

Every Hamiltonian cycle in  $G_b(n, k)$  yields an  $(n, k)$ -perfect necklace.

$G_b(n, k)$  is the line graph of  $G_b(n - 1, k)$ .

Thus, every Hamiltonian cycle in  $G_b(n, k)$  is Eulerian in  $G_b(n - 1, k)$ ,

Hence, every Eulerian cycle in  $G_b(n - 1, k)$  yields one  $(n, k)$ -perfect necklace.

Each  $(n, k)$ -perfect necklace can come from several Eulerian cycles in  $G_b(n - 1, k)$



# Count

## Theorem (Alvarez, Becher, Ferrari and Yuhjtman 2016)

The number of  $(n, k)$ -perfect necklaces over a  $b$ -symbol alphabet is

$$\frac{1}{k} \sum_{d_{b,k} | j | k} e(j) \varphi(k/j)$$

where

- ▶ if  $k = p_1^{\alpha_1} \dots p_t^{\alpha_t}$ , then  $d_{b,k} = \prod p_i^{\alpha_i}$ , where  $p_i$  divides both  $b$  and  $k$ ,
- ▶  $e(j) = (b!)^{j b^{n-1}} b^{-n}$  is the number of Eulerian cycles in  $G_b(n-1, j)$
- ▶  $\varphi$  is Euler's totient function.

# Three families of perfect necklaces

Arithmetic, Nested, Succession

- Construction
- Count
- Discrete discrepancy

# Arithmetic necklaces

Identify the words of length  $n$  over a  $b$ -symbol alphabet with the set of non-negative integers modulo  $b^n$  according to representation in base  $b$ .

## Definition

Let  $b \geq 2$  be an integer, let  $d$  be coprime with  $b$ . Let  $n$  be a positive integer. An arithmetic necklace is the concatenation of words of length  $n$  corresponding to the arithmetic progression with difference  $d$ :

$$\boxed{0} \quad \boxed{d \bmod b^n} \quad \boxed{2d \bmod b^n} \quad \dots \quad \boxed{(b^n - 1)d \bmod b^n}$$

With  $d = 1$  we obtain the ordered necklace.

## Theorem (Alvarez, Becher, Ferrari and Yuhjtman 2016)

For each  $n$ , the arithmetic necklaces are  $(n, n)$ -perfect.

## Count

Given  $b$  and  $n$ ,  $\#$  numbers coprime to  $b$  and smaller than  $b^n$ .

## Discrete discrepancy

Fix  $b$ -symbol alphabet with the uniform measure.

$$\Delta_{\ell, N}(a_1 a_2 \dots) = \max_{u \in \{0, \dots, b-1\}^\ell} \left| \frac{|a_1 a_2 \dots a_{N+\ell-1}|_u}{N} - \frac{1}{b^\ell} \right|$$

where  $\ell < \lfloor \log(N) \rfloor$ . This is to obtain u.d. of almost all (with respect to the product measure) infinite sequences (Flajolet, Kirschenhofer and Tichy 1988)

### Problem

*What is minimal  $\Delta_{\ell, N}(x)$  among all words  $x$ ?*

This is the discrete counterpart of Korobov's question (1955) on the minimum  $D_N((b^n x \bmod 1)_{n \geq 0})$  for some real number  $x$  and integer  $b$ .

# Discrete discrepancy

## Problem

*What are the minimal and maximal discrete discrepancy for arithmetic necklaces?*

The largest is presumably by the progression with difference 1.

For small discrepancy:

**Theorem** (Levin 1999 Theorem 1, using Popov 1981)

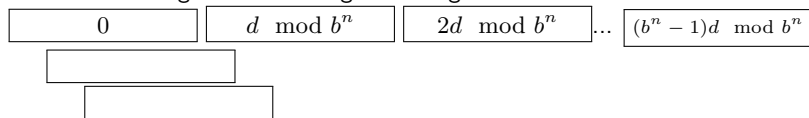
*For every  $n$  there is an arithmetic necklace such that  $N\Delta_N = O(n^3)$ .*

**Conjecture** (Becher and Carton 2019)

*For every  $n$  there is an arithmetic necklace such that  $N\Delta_N = O(n^2 \log n)$ .*

## Using classical discrepancy

We need a sliding window of length  $n$  along this concatenation



These are  $nb^n$  windows.

Convert the  $nb^n$  windows to  $nb^n$  rationals in the unit interval (base- $b$  expansion)

We obtain  $n$  progressions of  $b^n$  terms:

$$\begin{array}{ccccccc} 0, & \frac{d}{b^n} \bmod 1, & \frac{2d}{b^n} \bmod 1, & \dots, & \frac{(b^n - 1)d}{b^n} \bmod 1 \\ 0, & \frac{d}{b^{n-1}} \bmod 1, & \frac{2d}{b^{n-1}} \bmod 1, & \dots, & \frac{(b^n - 1)d}{b^{n-1}} \bmod 1 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0, & \frac{d}{b^2} \bmod 1, & \frac{2d}{b^2} \bmod 1, & \dots, & \frac{(b^n - 1)d}{b^2} \bmod 1 \\ 0, & \frac{d}{b} \bmod 1, & \frac{2d}{b} \bmod 1, & \dots, & \frac{(b^n - 1)d}{b} \bmod 1 \end{array}$$

## Classical discrepancy on arithmetic progressions

For  $\alpha = [a_0; a_1, \dots, a_s]$  let  $S(\alpha) = \sum_{i=1}^s a_i$ .

By a classical result,

$ND_N((k\alpha \bmod 1)_{k \geq 1}) \leq S(\alpha)$  stop at  $t(N) + 1$ ,  $q_{t(N)} \leq N \leq q_{t(N)+1}$

Levin 1999: For every  $b \geq 2$  and  $n$  there is  $d$  coprime with  $b$  such that

$$\sum_{i=1}^n S(d/b^i) < Kn^3, \text{ where } K \text{ is constant.}$$

Since  $\Delta_N \leq D_N$ , for  $N$  between 1 and  $nb^n$ ,

$$N\Delta_N(x) \leq \sum_{i=1}^n S(d/b^i) = O(n^3).$$

# Our conjecture

## Definition (minimizer)

Let  $b \geq 2$  be an integer and let  $n$  be a positive integer.

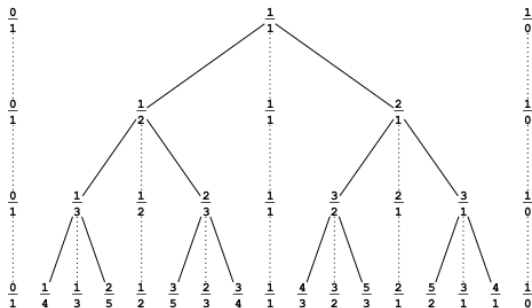
A minimizer for  $(b, n)$  is a positive integer  $d$  that minimizes  $\sum_{i=1}^n S(d/b^i)$ .

$n$	$b = 2$		$b = 3$		$b = 10$	
	$d$	$\sum_{i=1}^n S(d/b^i)$	$r$	$\sum_{i=1}^n S(d/b^i)$	$r$	$\sum_{i=1}^n S(d/b^i)$
1	1	2	1	3	3	6
2	1	6	2	9	27	17
3	3	11	5	18	173	36
4	3	19	31	29	2627	62
5	5	29	92	44	22627	91
6	19	39	140	63	262113	128
7	37	52	857	85	2262113	170
8	45	67	2570	109	16172177	227
9	151	83	9131	138	226542279	286
10	151	102	12262	172	—	—
11	807	125	31907	207	—	—
12	867	151	46787	245	—	—
13	3367	174	311411	286	—	—
14	3433	201	1288610	332	—	—
15	4825	231	3761986	379	—	—
16	13893	260	—	—	—	—



## Stern-Brocot tree

The Stern-Brocot tree is a binary tree whose vertices are the positive rational numbers. The root is 1 (row  $r = 0$ ). The left subtree, the Farey tree, contains the rationals less than 1.



The number  $x$  is at row  $r$  if and only if  $S(x) = r + 1$ .  
 For  $b$  and  $n$ , find  $d$  coprime with  $b$ , between 1 and  $b^n - 1$  minimizing

$$\sum_{i=1}^n \text{row}(d/b^i).$$

## Around Zaremba's conjecture

For the case of  $b = 2$ , we need

For every  $n$ ,  
find  $d$  odd between 1 and  $2^n - 1$   
that minimizes  $S(d/2) + S(d/2^2) + \dots + S(d/2^n)$

**Theorem** (Neiderreter 1986, Zaremba's conjecture for the powers of 2)

*For very  $n$  there is a  $a$  such that all the coefficients in the continued fraction expansion of  $a/2^n$  are bounded by 3.*

Zaremba's 1971 conjecture predicts that every integer appears as the denominator of a finite continued fraction whose coefficients are bounded by an absolute constant.

# Nested perfect necklaces

## Definition (Becher & Carton 2019)

An  $(n, k)$ -perfect necklace over a  $b$ -symbol alphabet is *nested* if  $n = 1$  or it is the concatenation of  $b$  nested  $(n - 1, k)$ -perfect necklaces.

This is a nested  $(2, 2)$ -perfect necklace for  $b = 2$

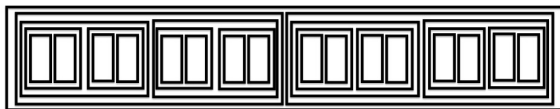
$$\underbrace{0011}_{(1,2)\text{-perfect}} \quad \underbrace{0110}_{(1,2)\text{-perfect}}$$

The ordered perfect necklace is not nested. For example,  $b = 3$ ,  $n = 2$ ,

$$\underbrace{00 \ 01 \ 02}_{\text{not } (1,2)\text{-perfect}} \quad \underbrace{10 \ 11 \ 12}_{\text{not } (1,2)\text{-perfect}} \quad \underbrace{20 \ 21 \ 22}_{\text{not } (1,2)\text{-perfect}}$$

## Nested perfect necklaces

For example, in the binary alphabet and  $n$  is a power of 2,



- |           |  |            |
|-----------|--|------------|
| 1         | nested $(n, n)$ -perfect necklace      | determines |
| 2         | nested $(n - 1, n)$ -perfect necklaces | determine  |
| $2^2$     | nested $(n - 2, n)$ -perfect necklaces | determine  |
| $\dots$   |  |            |
| $2^{n-1}$ | $(1, n)$ -perfect necklaces            |            |

## Levin's necklace, $n$ power of 2

For  $n$  a power of 2, M. Levin (1999) defines a matrix  $M$  in  $\mathbb{F}_2^{n \times n}$  using Pascal triangle matrix modulo 2,

$$M := (p_{i,j})_{i,j=0,1,\dots,n-1} \text{ where } p_{i,j} := \binom{i+j}{j} \pmod{2}.$$

$M$  is upper triangular and it has the following property on submatrices.

**Lemma** (Levin 1999 from Bicknell and Hoggart 1978; Mereb 2023)

*For Pascal triangle matrix modulo 2, each square submatrix at the left or at the top has determinant computed in  $\mathbb{Z}$  equal to 1 or  $-1$ .*

$$M = \left( \begin{array}{c} \begin{array}{c} \square \\ \square \end{array} \\ \begin{array}{c} \square \\ \square \end{array} \end{array} \right)$$

Then, if these determinants are computed in  $\mathbb{Z}/b\mathbb{Z}$ , for any integer  $b \geq 2$ , they are equal to 1 or  $-1$ .

# Levin's necklace, $n$ power of 2

## Definition (Levin 1999)

Let integer  $b \geq 2$  and let  $n$  be a power of 2.

Identify the set of non-negative integers modulo  $b^n$  according to representation in base  $b$  with the vectors  $w_0, \dots, w_{b^n-1}$  in  $(\mathbb{Z}/b\mathbb{Z})^n$ .

Let  $M \in \mathbb{F}_2^{n \times n}$  be the Pascal triangle matrix modulo 2.

Define the necklace (computation is done in  $\mathbb{Z}/b\mathbb{Z}$ )

$$Mw_0 \dots Mw_{b^n-1}.$$

For example, for  $b = 2$ ,

$$\begin{array}{l} n = 2^0 \quad 01 \\ n = 2^1 \quad 0011 \ 1001 \\ n = 2^2 \quad 0000 \ 1111 \ 1010 \ 0101 \ 1100 \ 0011 \ 0110 \ 1001 \ 1000 \ 0111 \ 0010 \ 1101 \ 0100 \ 1011 \ 1110 \\ \dots \end{array}$$

Levin's necklace is nested perfect,  $n$  power of 2

**Theorem** (Becher and Carton 2019)

*Let  $b \geq 2$  be a integer and let  $n$  be a power of 2. The necklace given by the Pascal triangle matrix modulo 2 is nested  $(n, n)$ -perfect.*

## Construction of nested $(n, n)$ -perfect necklaces, $n$ power of 2

**Definition** (Pascal-like family  $\mathcal{P} \subseteq \mathbb{F}_2^{n \times n}$ )

Let  $n$  be a power of 2.

Let  $(\eta_j)_{0 \leq j < n}$  such that  $\eta_0 = 0, \eta_j \leq \eta_{j+1} \leq \eta_j + 1$  (non decreasing step)

Define  $M^\eta = (p_{i,j}^\eta)_{0 \leq i, j < n}$  in  $\mathbb{F}_2^{n \times n}$ ,

$$p_{i,j}^\eta = \binom{i + j - \eta_j}{j} \pmod 2$$

For each  $M$  in  $\mathcal{P} = \{M^\eta : \eta \text{ non decreasing step}\}$ , for every integer  $b \geq 2$ ,

$$Mw_0 \dots Mw_{b^n - 1}$$

(multiplication in  $\mathbb{Z}/b\mathbb{Z}$ ) is a nested  $(n, n)$ -perfect necklace.



## Count of binary nested $(n, n)$ -perfect necklaces, $n$ power of 2

### Theorem (Becher and Carton 2019)

There are  $2^{2n-1}$  **binary** nested  $(n, n)$ -perfect necklaces,  $n$  power of 2.

### Proof.

For each  $M$  in  $\mathcal{P}$  and for each  $z$  in  $\mathbb{F}_2^n$ ,  $M(w_0 \oplus z) \dots M(w_{2^n-1} \oplus z)$  is a binary nested  $(n, n)$ -perfect necklace.

If  $z' = Mz$ ,  $M(w_0 \oplus z) \dots M(w_{2^n-1} \oplus z) = Mw_0 \oplus z' \dots Mw_{2^n-1} \oplus z'$ ,

# matrices  $\in \mathbb{F}_2^{n \times n}$  in  $\mathcal{P} \times$  # vectors  $z \in \mathbb{F}_2^n = 2^{2n-1} \leq$  Total.

By a graph theoretical argument we know that there can be no more.  $\square$

## Construction nested $(n, n)$ -perfect necklaces, prime $b$ , $n$ power of $b$

**Definition** (Pascal-like family  $\mathcal{P} \subseteq \mathbb{F}_b^{n \times n}$  Hofer and Larcher, 2022)

Let  $b$  be a prime.

Let  $n$  be a power of  $b$ .

Let  $(u_j)_{0 \leq j < n}$  with  $u_j \not\equiv 0 \pmod{b}$ .

Let  $(\eta_j)_{0 \leq j < n}$  such that  $\eta_0 = 0, \eta_j \leq \eta_{j+1} \leq \eta_j + 1$ .

Define  $M^{u, \eta} = (p_{i,j}^{u, \eta})_{0 \leq i, j < n}$  in  $\mathbb{F}_b^{n \times n}$ ,

$$p_{i,j}^{u, \eta} = \binom{i + j - \eta_j}{j} u_j \pmod{b}.$$

For each  $M$  in  $\mathcal{P}$ ,

$$Mw_0 \dots M(w_{b^n-1})$$

(multiplication in  $\mathbb{F}_b$ ) is a nested  $(n, n)$ -perfect necklace.

## Count, we know very little

Base/n	necklace in base $b = 2$	necklace in base $b \geq 3$
$n$ a power of 2	$2^{2n-1}$	?
$n$ a power of prime $b \geq 3$	?	?

# Discrepancy

**Theorem** (Levin 1999; Becher and Carton 2019, Hofer and Larcher 2022,2023)

<i>Base/n</i>	<i>necklace in base <math>b \geq 2</math></i>
<i><math>n</math> a power of 2</i>	$\Delta_N = O(n(\log N)/N)$
<i><math>n</math> a power of prime <math>b</math></i>	

In case of the canonical Pascal triangle matrix in  $\mathbb{F}_b$ , prime  $b \geq 2$ ,  
 $\Delta_N = \Theta(n(\log N)/N)$ .

# Succession necklaces

## Definition

The succession necklaces for  $(n, k)$  are  $(n, k)$ -perfect necklaces that correspond to Eulerian cycles in  $G_b(n-1, k)$  obtained by joining cycles given by a succession rule.

We extend the shift registers of Golomb 1967 to construct some:  $(n, k)$ -rotation cycles and  $(n, k)$ -increment cycles.

We do not know how to count them.

## Observation

*For every  $n$ , the ordered necklace of words of length  $n$  is arithmetic and succession.*

# Discrepancy of succession necklaces

**Theorem** (Álvarez, Becher, Mereb, Pajor and Soto 2023)

*We construct an  $(n, 1)$ -perfect necklace by joining  $(n, 1)$ -increment cycles*

*for  $b = 2$ ,  $N\Delta_{1,N} = n/2$ , and this is optimal;*

*for  $b \geq 3$ ,  $N\Delta_{1,N} = (n + 1)/2$ .*

# Summary on necklaces and discrepancy

**Arithmetic**  $(n, n)$ -perfect necklaces

Exist arithmetic  $(n, n)$ -perfect  $N\Delta_N = O(n^3)$ , [Levin 1999](#)

**Conjecture:** Exist arithmetic  $(n, n)$ -perfect  $N\Delta_N = O(n^2 \log n)$

**Nested**  $(n, n)$ -perfect necklaces,

$n$  a power of 2,  $b \geq 2$ ,  $N\Delta_N = O(n \log N)$ , [Levin 1999](#); [Becher and Carton 2019](#)

$n$  a power of  $b$ ,  $b$  prime,  $N\Delta_N = O(n \log N)$

In Levin's cases, this is exact [Hofer and Larcher 2022,2023](#)

**Succession**  $(n, k)$ -perfect necklaces [Alvarez, Becher, Mereb, Pajor, Soto 2023](#)

There exist succession  $(n, n)$ -perfect with  $N\Delta_{1,N} = \Theta(n)$ .

There are many open questions.

## Nikolai Korobov (1955)

What is the minimum discrepancy associated to a normal number?



## Some references

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## Classical definitions

Let  $b$  be a base. Let  $\Sigma_b = \{0, \dots, b-1\}$ .

**Rotation**  $r : \Sigma_b^n \rightarrow \Sigma_b^n$  moves the last character of the string to the front.

For example:  $r(0010) = 0001$ ;  $r(0001) = 1000$ ;  $r^2(0010) = 1000$

The equivalence classes of  $\Sigma_b^n$  under rotation are the necklaces of size  $n$ .

Since  $r$  is invertible with  $r^{-1} = r^{n-1}$ ,  $\langle r \rangle$  is a group that acts on  $\Sigma_b^n$ .

**Incremented rotation**  $\iota : \Sigma_b^n \rightarrow \Sigma_b^n$  increments the last character of the string (modulo  $b$ ) and moves that incremented character to the front.

For example, if  $b = 3$ , we have:  $\iota(0021) = 2002$ ,  $\iota(2002) = 0200$

Since  $\iota$  is invertible,  $\langle \iota \rangle$  is a group that acts on  $\Sigma_b^n$ .

# Succession perfect necklaces

## Definition

For positive  $n$  and  $k$  we define  $r_k : \Sigma_b^n \times \mathbb{Z}/k\mathbb{Z} \rightarrow \Sigma_b^n \times \mathbb{Z}/k\mathbb{Z}$ ,

$$r_k(s, t) = (r(s), t + 1)$$

The  $(n, k)$ -rotation necklaces are the orbits of  $\langle r_k \rangle$  on the set  $\Sigma_b^n \times \mathbb{Z}/k\mathbb{Z}$

Applying Burnside's Lemma,

## Proposition (ABMPS 2022)

*The  $(n, k)$ -rotation necklaces correspond to some simple cycles in graph  $G_b(n - 1, k)$  and determine a partition of  $G_b(n - 1, k)$ . The total number is*

$$\frac{\gcd(n, k)}{n} \sum_{\gcd(n, k) | d | n} \varphi(n/d) b^d$$

# Succession perfect necklaces

## Definition

For a positive integer  $k$  we define  $\iota_k : \Sigma_b^n \times \mathbb{Z}/k\mathbb{Z} \rightarrow \Sigma_b^n \times \mathbb{Z}/k\mathbb{Z}$ ,

$$\iota_k(s, t) = (\iota(s), t + 1)$$

The  $(n, k)$ -increment necklaces are the orbits of  $\langle \iota_k \rangle$  on the set  $\Sigma_b^n \times \mathbb{Z}/k\mathbb{Z}$   
Applying Burnside's Lemma,

## Proposition (ABMPS 2022)

*The  $(n, k)$ -increment necklaces correspond to some simple cycles in graph  $G_b(n-1, k)$  and determine a partition of  $G_b(n-1, k)$ .*

*The total number is*

$$\frac{\gcd(\gcd(k, bs)n/s, kb)}{nb} \sum_{\gcd(n, \text{lcm}(k, bs)) | d | n} \varphi(n/d) \cdot b^d$$

where  $s$  is the smallest divisor of  $n$  such that  $n/s$  is coprime with  $b$ .

# Nested marvelous (semi-perfect) necklaces

## Definition

A necklace over a  $b$ -symbol alphabet is **nested  $(n, k)$ -marvelous** if all words of length  $n$  occur exactly  $k$  times, and in case  $n > 1$  it is the concatenation of  $b$  nested  $(n - 1, k)$ -marvelous necklaces.

This is nested  $(3, 3)$ -marvelous, not perfect,

000111 011001 000111 101010

## Theorem (Frizzo 2020; Larcher and Hofer 2022)

*For every number  $x$  whose base- $b$  expansion is the concatenation of nested  $(n, n)$ -marvelous necklaces, for  $n$  a power of  $b$  or a power of 2,  $D_N(\{b^t x\}_{t \geq 0})$  is  $O((\log N)^2/N)$ .*