

Moduli of Computation

Theodore A. Slaman
(on joint work with Marcia Groszek)

University of California, Berkeley



January 2007

Modulus of Computation

Definition

Let $f : \omega \rightarrow \omega$, denoted $f \in \omega^\omega$, and $X \subseteq \omega$

- ▶ f is a *modulus (of computation)* for X iff for every $g \in \omega^\omega$ such that g dominates f point-wise ($g \succeq f$), X is recursive in g .
- ▶ X has a *self-modulus* iff X can compute a modulus for itself.

We will also consider point-wise domination for functions with finite domains ($g \in \omega^{<\omega}$). Write $g \succeq f$ to indicate that the domain of g is a subset of the domain of f and for every n in the domain of g , $g(n) \geq f(n)$.

Examples

recursively enumerable sets

Example

If W is recursively enumerable, then W has a self-modulus:

$f : n \mapsto$ the stage at which $W \upharpoonright n$ is completely enumerated

By similar means:

- ▶ if X is n -REA, then X has a self-modulus
- ▶ each of the canonical complete sets in the hyperarithmetical hierarchy has a self-modulus

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Example

If X is Δ_2^0 , then X has a self-modulus.

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Example

If X is Δ_2^0 , then X has a self-modulus.

Proof

- ▶ Let $X(n, s)$ be recursive such that $\lim_{s \rightarrow \infty} X(n, s) = X(n)$.

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Example

If X is Δ_2^0 , then X has a self-modulus.

Proof

- ▶ Let $X(n, s)$ be recursive such that $\lim_{s \rightarrow \infty} X(n, s) = X(n)$.
- ▶ Let $f : n \mapsto s_n$, where s_n is the least stage greater than n such that for all $m \leq n$, $X(m, s_n) = X(m)$.

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Example

If X is Δ_2^0 , then X has a self-modulus.

Proof

- ▶ Let $X(n, s)$ be recursive such that $\lim_{s \rightarrow \infty} X(n, s) = X(n)$.
- ▶ Let $f : n \mapsto s_n$, where s_n is the least stage greater than n such that for all $m \leq n$, $X(m, s_n) = X(m)$.
 - ▶ Clearly, $X \geq_T f$.

Examples

Δ_2^0 -sets

We heard yesterday that no Δ_2^0 non-recursive set is hyper-immune-free.

Example

If X is Δ_2^0 , then X has a self-modulus.

Proof

- ▶ Let $X(n, s)$ be recursive such that $\lim_{s \rightarrow \infty} X(n, s) = X(n)$.
- ▶ Let $f : n \mapsto s_n$, where s_n is the least stage greater than n such that for all $m \leq n$, $X(m, s_n) = X(m)$.
 - ▶ Clearly, $X \geq_T f$.
 - ▶ Given $g \succeq f$ and n , compute $X(n)$ by, (1) finding $s^* > n$ such that for all $m \leq n$ and all s between s^* and $g(s^*)$, $X(m, s) = X(m, s^*)$ and (2) concluding $X(n) = X(n, s^*)$.



Moduli in Action

Self-moduli are recursion theoretically useful.

- ▶ Permitting arguments:
 - ▶ construct sets X recursively in given recursively enumerable non-recursive sets W
- ▶ Providing a reservoir of examples.
 - ▶ If X has a self-modulus, then X is not 2-random relative to any continuous measure.

Finding Sets With Moduli

uniformity

Proposition

Suppose that X has a modulus f . There is a Turing functional Φ and an $f^ \succeq f$ such that for every g , if $g \succeq f^*$ then $\Phi(g) = X$.*

Finding Sets With Moduli

uniformity

Proposition

Suppose that X has a modulus f . There is a Turing functional Φ and an $f^ \succeq f$ such that for every g , if $g \succeq f^*$ then $\Phi(g) = X$.*

Proof

- ▶ Consider g generic for conditions (g_0, f^*) in which $g_0 \in \omega^{<\omega}$ specifies finitely much of g and f^* is a function which subsequent values of g must dominate.

Finding Sets With Moduli

uniformity

Proposition

Suppose that X has a modulus f . There is a Turing functional Φ and an $f^ \succeq f$ such that for every g , if $g \succeq f^*$ then $\Phi(g) = X$.*

Proof

- ▶ Consider g generic for conditions (g_0, f^*) in which $g_0 \in \omega^{<\omega}$ specifies finitely much of g and f^* is a function which subsequent values of g must dominate.
- ▶ By Σ_2^1 -absoluteness, f is a modulus for X in $V[G]$, so there is a Φ_0 and an f^* such that $(\emptyset, f^*) \Vdash \Phi_0(g) = X$.

Finding Sets With Moduli

uniformity

Proposition

Suppose that X has a modulus f . There is a Turing functional Φ and an $f^ \succeq f$ such that for every g , if $g \succeq f^*$ then $\Phi(g) = X$.*

Proof

- ▶ Consider g generic for conditions (g_0, f^*) in which $g_0 \in \omega^{<\omega}$ specifies finitely much of g and f^* is a function which subsequent values of g must dominate.
- ▶ By Σ_2^1 -absoluteness, f is a modulus for X in $V[G]$, so there is a Φ_0 and an f^* such that $(\emptyset, f^*) \Vdash \Phi_0(g) = X$.
- ▶ If $g \succeq f^*$, then for each n , g can compute $X(n)$ by finding a g_0 such that $g_0 \succeq g$ and $\Phi_0(n, g_0)$ converges. □

Finding Sets With Moduli

uniformity

Proposition

Suppose that X has a modulus f . There is a Turing functional Φ and an $f^ \succeq f$ such that for every g , if $g \succeq f^*$ then $\Phi(g) = X$.*

Proof

- ▶ Consider g generic for conditions (g_0, f^*) in which $g_0 \in \omega^{<\omega}$ specifies finitely much of g and f^* is a function which subsequent values of g must dominate.
- ▶ By Σ_2^1 -absoluteness, f is a modulus for X in $V[G]$, so there is a Φ_0 and an f^* such that $(\emptyset, f^*) \Vdash \Phi_0(g) = X$.
- ▶ If $g \succeq f^*$, then for each n , g can compute $X(n)$ by finding a g_0 such that $g_0 \succeq g$ and $\Phi_0(n, g_0)$ converges. \square

We say that Φ is the uniform index for X .

Finding Sets With Moduli

countability

Corollary

There are only countably many sets with moduli.

Proof

An X with a modulus is determined by its uniform index Φ and there are only countably many Φ 's. □

Finding Sets With Moduli

definability

Theorem (Solovay)

If X has a modulus, then X is Δ_1^1 .

Finding Sets With Moduli

definability

Theorem (Solovay)

If X has a modulus, then X is Δ_1^1 .

Proof

Suppose that X has a modulus and that Φ is the uniform index for X . Then $X(n) = i$ has a Σ_1^1 description as follows.

$$X(n) = i \iff (\exists f^*)(\forall g_0 \in \omega^{<\omega}) \left[\begin{array}{l} (g_0 \succeq f^* \wedge \Phi(n, g_0) \downarrow) \\ \implies \Phi(n, g_0) = i \end{array} \right]$$

Finding Sets With Moduli

definability

Theorem (Solovay)

If X has a modulus, then X is Δ_1^1 .

Proof

Suppose that X has a modulus and that Φ is the uniform index for X . Then $X(n) = i$ has a Σ_1^1 description as follows.

$$X(n) = i \iff (\exists f^*)(\forall g_0 \in \omega^{<\omega}) \left[\begin{array}{l} (g_0 \succeq f^* \wedge \Phi(n, g_0) \downarrow) \\ \implies \Phi(n, g_0) = i \end{array} \right]$$

$X(n) \neq i$ is also Σ_1^1 .

$$X(n) \neq i \iff (\exists j)[i \neq j \wedge X(n) = j]$$

Finding Sets With Moduli

definability

Theorem (Solovay)

If X has a modulus, then X is Δ_1^1 .

Proof

Suppose that X has a modulus and that Φ is the uniform index for X . Then $X(n) = i$ has a Σ_1^1 description as follows.

$$X(n) = i \iff (\exists f^*)(\forall g_0 \in \omega^{<\omega}) \left[\begin{array}{l} (g_0 \succeq f^* \wedge \Phi(n, g_0) \downarrow) \\ \implies \Phi(n, g_0) = i \end{array} \right]$$

$X(n) \neq i$ is also Σ_1^1 .

$$X(n) \neq i \iff (\exists j)[i \neq j \wedge X(n) = j]$$

Hence, X is Δ_1^1 .



Working From Sets With Self-Moduli

Every Δ_2^0 set has a self-modulus, hence there are a variety of examples.

- ▶ 1-generic
- ▶ 1-random
- ▶ complete extensions of Peano Arithmetic
- ▶ of minimal Turing degree

Working From Sets With Self-Moduli

Every Δ_2^0 set has a self-modulus, hence there are a variety of examples.

- ▶ 1-generic
- ▶ 1-random
- ▶ complete extensions of Peano Arithmetic
- ▶ of minimal Turing degree

What about examples which are not Δ_2^0 ?

Working From Sets With Self-Moduli

Proposition

Suppose that X has a self-modulus. Then either X is Δ_2^0 or X can compute a 1-generic set G .

Working From Sets With Self-Moduli

Proposition

Suppose that X has a self-modulus. Then either X is Δ_2^0 or X can compute a 1-generic set G .

Proof

Let $g^* \in \omega^\omega \leq_T 0'$ map n to the least s such that for all $p \in 2^n$ and all $e \leq n$,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$

Working From Sets With Self-Moduli

Proposition

Suppose that X has a self-modulus. Then either X is Δ_2^0 or X can compute a 1-generic set G .

Proof

Let $g^* \in \omega^\omega \leq_T 0'$ map n to the least s such that for all $p \in 2^n$ and all $e \leq n$,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$

Any function not eventually dominated by g^* can compute a 1-generic set, details in next frame.

Working From Sets With Self-Moduli

Proposition

Suppose that X has a self-modulus. Then either X is Δ_2^0 or X can compute a 1-generic set G .

Proof

Let $g^* \in \omega^\omega \leq_T 0'$ map n to the least s such that for all $p \in 2^n$ and all $e \leq n$,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$

Any function not eventually dominated by g^* can compute a 1-generic set, details in next frame.

Let f be the self-modulus for X . If f is eventually dominated by g^* , then X is Δ_2^0 . Otherwise, X computes a 1-generic set. □

Working From Sets With Self-Moduli

building a 1-generic

Suppose that f dominates g^* . Compute a set G from f by recursion where $G(s)$ is defined at stage s so as to move toward the condition meeting the highest priority Σ_1^0 set visible in $f(s)$ steps.

Working From Sets With Self-Moduli

building a 1-generic

Suppose that f dominates g^* . Compute a set G from f by recursion where $G(s)$ is defined at stage s so as to move toward the condition meeting the highest priority Σ_1^0 set visible in $f(s)$ steps.

| $G \upharpoonright s$ defined by stage $s - 1$

Working From Sets With Self-Moduli

building a 1-generic

Suppose that f dominates g^* . Compute a set G from f by recursion where $G(s)$ is defined at stage s so as to move toward the condition meeting the highest priority Σ_1^0 set visible in $f(s)$ steps.

$q \in W_e$ visible at $f(s)$

$G \upharpoonright s$ defined by stage $s - 1$

Working From Sets With Self-Moduli

building a 1-generic

Suppose that f dominates g^* . Compute a set G from f by recursion where $G(s)$ is defined at stage s so as to move toward the condition meeting the highest priority Σ_1^0 set visible in $f(s)$ steps.

$q_1 \in W_{e_1}$ visible at stage $f(s_1)$ with $e_1 < e$



$q \in W_e$ visible at $f(s)$

$G \upharpoonright s$ defined by stage $s - 1$

Non-iterative example

Thus far, we have obtained sets X with self-moduli using approximations to Skolem functions for Σ_1^0 or Δ_2^0 definability, possibly iterated in a degree increasing manner.

Non-iterative example

Thus far, we have obtained sets X with self-moduli using approximations to Skolem functions for Σ_1^0 or Δ_2^0 definability, possibly iterated in a degree increasing manner.

However, not every set with a self-modulus is of this type.

Non-iterative example

Thus far, we have obtained sets X with self-moduli using approximations to Skolem functions for Σ_1^0 or Δ_2^0 definability, possibly iterated in a degree increasing manner.

However, not every set with a self-modulus is of this type.

Theorem

There is a non-recursive set X with a self-modulus such that X does not compute any non-recursive Δ_2^0 -set.

Non-iterative example

requirements

We build a Δ_3^0 function f and a partial recursive functional Γ to satisfy the following requirements.

Non-iterative example

requirements

We build a Δ_3^0 function f and a partial recursive functional Γ to satisfy the following requirements.

- ▶ If $g \succeq f$, then $\Gamma(g) = f$.

Non-iterative example

requirements

We build a Δ_3^0 function f and a partial recursive functional Γ to satisfy the following requirements.

- ▶ If $g \succeq f$, then $\Gamma(g) = f$.
- ▶ For each Φ and Ψ , either there is an n such that $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive.

Non-iterative example

Building f and Γ

We construct a recursive sequence $f_s \in \omega^{<\omega}$ and let f be the limit infimum this sequence.

Non-iterative example

Building f and Γ

We construct a recursive sequence $f_s \in \omega^{<\omega}$ and let f be the limit infimum this sequence.

We simultaneously enumerate the functional Γ as a set of pairs $(p, q) \in \omega^{<\omega} \times \omega^{<\omega}$. Here, we mean that if $(p, q) \in \Gamma$ and $p \subset h$, then $q \subset \Gamma(h)$. During stage s , we enumerate pairs (p, f_s) with an overarching requirement that if $g \succeq f$ then $\Gamma(g) = f$.

Non-iterative example

Building f and Γ

For every f_s , we maintain the possibility of later defining f_t so that $f_s \subset f_t$. For this, we need that if $p \succeq f_t$ then $f_s \subseteq \Gamma(p)$, which we arrange as in the following picture.

Non-iterative example

Building f and Γ

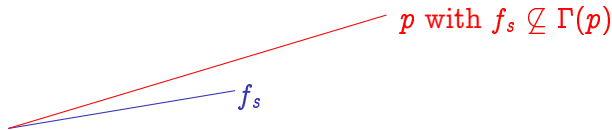
For every f_s , we maintain the possibility of later defining f_t so that $f_s \subset f_t$. For this, we need that if $p \succeq f_t$ then $f_s \subseteq \Gamma(p)$, which we arrange as in the following picture.



Non-iterative example

Building f and Γ

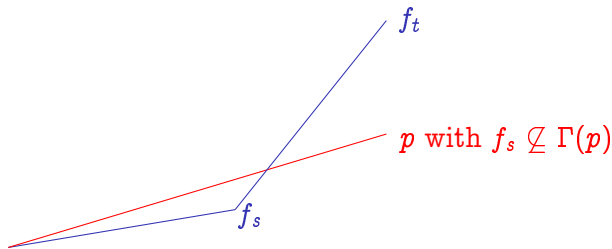
For every f_s , we maintain the possibility of later defining f_t so that $f_s \subset f_t$. For this, we need that if $p \succeq f_t$ then $f_s \subseteq \Gamma(p)$, which we arrange as in the following picture.



Non-iterative example

Building f and Γ

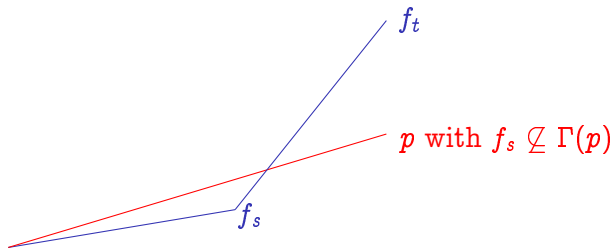
For every f_s , we maintain the possibility of later defining f_t so that $f_s \subset f_t$. For this, we need that if $p \succeq f_t$ then $f_s \subseteq \Gamma(p)$, which we arrange as in the following picture.



Non-iterative example

Building f and Γ

For every f_s , we maintain the possibility of later defining f_t so that $f_s \subset f_t$. For this, we need that if $p \succeq f_t$ then $f_s \subseteq \Gamma(p)$, which we arrange as in the following picture.



We make moot the computations (p, q) enumerated into Γ during the interval (s, t) and acquire the obligation that $p \not\preceq f$.

Non-iterative example

Building f and Γ

We have introduced the possibility of making all computations
moot

Non-iterative example

Building f and Γ

We have introduced the possibility of making all computations moot

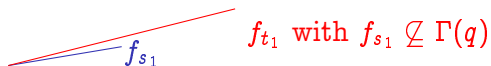


f_{s_1}

Non-iterative example

Building f and Γ

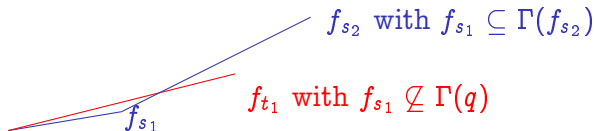
We have introduced the possibility of making all computations moot



Non-iterative example

Building f and Γ

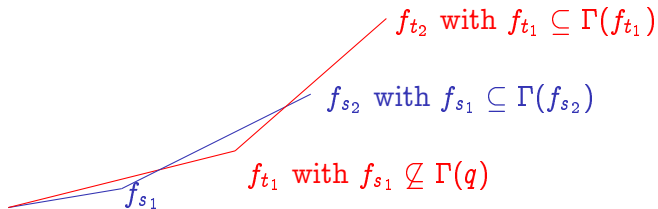
We have introduced the possibility of making all computations moot



Non-iterative example

Building f and Γ

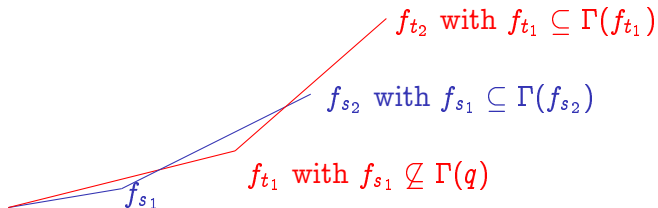
We have introduced the possibility of making all computations moot



Non-iterative example

Building f and Γ

We have introduced the possibility of making all computations moot



To meet the requirement that Γ is total on every g such that $g \supseteq f$, we ensure that any two strings which are extended by infinitely many of the f_s are compatible.

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Heuristic features of the strategy:

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Heuristic features of the strategy:

- ▶ Fix an initial condition q .

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Heuristic features of the strategy:

- ▶ Fix an initial condition q .
- ▶ Find a Φ -split at argument m using conditions extending $q * 0$.

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Heuristic features of the strategy:

- ▶ Fix an initial condition q .
- ▶ Find a Φ -split at argument m using conditions extending $q * 0$.
 - ▶ The strategy cannot simply alternate between the conditions in the split and ensure that $\Phi(m, f) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$.

Non-iterative example

$\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive

Ensuring that either $\Phi(n, f) \neq \lim_{s \rightarrow \infty} \Psi(n, s)$ or $\Phi(f)$ is recursive requires a Π_2^0 -strategy. A priori, some aspects of the construction should be infinitary or f itself would be Δ_2^0 .

Heuristic features of the strategy:

- ▶ Fix an initial condition q .
- ▶ Find a Φ -split at argument m using conditions extending $q * 0$.
 - ▶ The strategy cannot simply alternate between the conditions in the split and ensure that $\Phi(m, f) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$.
- ▶ The strategy alternates between one of the conditions in the split and conditions extending $q * n$, where $n > 0$. Based on the behavior of Ψ , it settles upon an n and an r extending $q * n$ so that $\Phi(m, r) \neq \lim_{s \rightarrow \infty} \Psi(m, s)$ and it returns to conditions extending r infinitely often.

Non-iterative example

question

Question

Suppose that H is Δ_1^1 . Does there exist an X such that X has a self-modulus and such that every set that is recursive in both X and H is recursive?

Non-iterative example

question

Question

Suppose that H is Δ_1^1 . Does there exist an X such that X has a self-modulus and such that every set that is recursive in both X and H is recursive?

Moduli of 1-Genericity

Definition

$f \in \omega^\omega$ is a *modulus of 1-genericity* iff for every $h \in \omega^\omega$, if $h \succeq f$ then there is a 1-generic set recursive in h .

Moduli of 1-Genericity

Definition

$f \in \omega^\omega$ is a *modulus of 1-genericity* iff for every $h \in \omega^\omega$, if $h \succeq f$ then there is a 1-generic set recursive in h .

Example

The function $g^* \in \omega^\omega \leq_T 0'$ (encountered earlier) mapping n to the least s such that for all $p \in 2^n$ and all $e \leq n$,

$$(\exists q \supseteq p)[q \in W_e] \implies (\exists q \supseteq p)[|q| < s \wedge q \in W_{e,s}]$$

is a modulus of 1-genericity. In fact, if g^* does not eventually dominate h , then there is a 1-generic set recursive in h . Hence, any such h is a modulus of 1-genericity.

Moduli of 1-Genericity

other examples

Example

If G is 2-generic, then G computes a modulus of 1-genericity. The function mapping n to the n th element of G is not eventually dominated by the Δ_2^0 function g^* .

Example

There is an f such that f is not dominated by any recursive function and f is not a modulus of 1-genericity. Consider the self-modulus of a Δ_2^0 set of minimal Turing degree.

Moduli of 1-Genericity

a 1-generic example

Theorem

There is a 1-generic set G such that G does not compute a modulus of 1-genericity.

Moduli of 1-Genericity

a 1-generic example

Theorem

There is a 1-generic set G such that G does not compute a modulus of 1-genericity.

Note, since Δ_2^0 sets have self-moduli, G cannot be Δ_2^0 .

Moduli of 1-Genericity

a 1-generic example

Theorem

There is a 1-generic set G such that G does not compute a modulus of 1-genericity.

Note, since Δ_2^0 sets have self-moduli, G cannot be Δ_2^0 .

We construct G as a limit infimum in the context of a (more involved) full-approximation priority argument like the previous one.

Finis