

# Genericity Theory from the Randomness Viewpoint

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January 11, 2007

## Genericity and Randomness

### Definition

A real  $x \in 2^\omega$  is

- (i) *weakly  $n$ -generic* if  $x \notin A$  for all  $\Pi_n^0$  meager set  $A$ ;
- (ii) *weakly  $n$ -random* if  $x \notin A$  for all  $\Pi_n^0$  null set  $A$ .

### Definition

A real  $x \in 2^\omega$  is

- (i)  *$n$ -generic* if either  $x \in A$  or there exists a finite string  $\sigma \prec x$  so that  $[\sigma] \cap A = \emptyset$  for all  $\Sigma_n^0$  open set  $A$ ;
- (ii)  *$n$ -random* if  $x \notin A$  for set  $A$  which is the intersection of a  $\Sigma_n^0$ -test.

## Effective Category and Measure Theory

### Theorem

Fix a universal  $\Sigma_n^0$  set  $A \subseteq \omega \times 2^\omega$ , then:

- 1 (Sacks)  $\{(i, n) \mid \mu(A_i) > 2^{-n}\}$  is  $\Sigma_n^0$ .
- 2 (Kechris)  $\{i \mid A_i \text{ is not meager}\}$  is  $\Sigma_n^0$ .

### Theorem

For every  $\Sigma_n^0$  set  $A \subseteq 2^\omega$ ,

- 1 (Kurtz) there is a recursive sequence of  $\Sigma_{n-1}^0$  closed sets  $\{F_m\}_m$  so that  $\bigcup_n F_m \subseteq A$  and  $\mu(\bigcup_m F_m) = \mu(A)$ .
- 2 (Forklore)  $A$  is comeager, then there is an recursive sequence of  $\Sigma_n^0$  dense open sets  $\{U_m\}_m$  so that  $\bigcap_m U_m \subseteq A$ .

## Uniformizing the Notions

### Corollary (Kurtz)

A real  $x \in 2^\omega$  is

- 1 weakly  $n$ -generic iff  $x \in U$  for every  $\Sigma_n^0$  dense open set  $U$ .
- 2 weakly  $n + 1$ -random iff  $x \notin \bigcap_m U_m$  for all recursive sequence of  $\Sigma_n^0$  open sets  $\{U_m\}_m$  with  $\lim_m \mu(U_m) = 0$ .
- 3  $n$ -random iff  $x \notin \bigcap_m U_m$  for all recursive sequence of  $\Sigma_n^0$  open sets  $\{U_m\}_m$  with  $\mu(U_m) \leq 2^{-m}$ .

So weak  $n + 1$  randomness  $\implies$   $n$ -randomness  $\implies$  weak  $n$ -randomness and weak  $n + 1$ -genericity  $\implies$   $n$ -genericity  $\implies$  weak  $n$ -genericity

## Definition

- A Turing degree is hyperimmune if it contains a function not dominated by any recursive functions. Otherwise, it is hyperimmune-free.
- A degree is recursively traceable if every function computed by it can be traced by a recursive function with identity bound.
- A Turing degree is *DNR* if it contains a function  $f$  so that  $\forall n(f(n) \neq \Phi_n(n))$ .
- A Turing degree is *PA* if it contains a real computing a completion of Peano's Axioms.

## Characterizing Low Levels I

### Theorem

- 1 (Forklore) Every weakly 1-generic real is weakly 1-random.
- 2
  - (Kurtz+Jockusch)  $x$  has a weakly 1-generic degree iff it has a hyperimmune degree, and every 1-generic real is REA.
  - (DNWY+Hirschfeldt, Miller)  $x$  has a weakly 2-random degree iff it has a 1-random degree and is incomparable with all of nonrecursive  $\Delta_2^0$ -degrees.
  - (Kurtz) Every 2-random real is REA.
- 3
  - (Forklore) Every 1-generic degree is  $GL_1$ .
  - (Kautz) Every 2-random degree is  $GL_1$ .
  - (Kucera) If  $x \geq_T \emptyset'$ , then it has a 1-random degree.

## Characterizing Low Levels II

### Theorem

- (Forklore) No 1-generic real has DNR-degree.
- (Forklore) Every 1-random degree is a DNR-degree.
- (Stephan) If  $x$  is 1-random, then  $x$  has a PA degree iff  $x \geq_T \emptyset'$ .
- (Yu) A real  $x$  is hyperimmune-free, then  $x$  is weakly 1-random iff  $x$  is weakly 2-random.

### Question

Characterizing weakly 1-random degrees.

## Kolmogorov Complexity vs Randomness

### Theorem

- 1 (Schnorr)  $x$  is 1-random iff there is a constant  $c$  so that  $\forall n(K(x \upharpoonright n) \geq n - c)$ .
- 2 (Miller and Yu)  $x$  is 1-random iff for every computable function  $g$  with  $\sum_n 2^{-g(n)} < \infty$ , there is a constant  $c$  so that  $\forall n(C(x \upharpoonright n) \geq n - g(n) - c)$ .
- 3 (Miller and Yu)  $x \oplus y$  is 1-random iff there is a constant so that  $\forall n(K(x \upharpoonright n) + C(y \upharpoonright n) \geq 2n - c)$ .
- 4 (NST+Miller)  $x$  is 2-random iff there is a constant  $c$  so that  $\exists^\infty n(C(x \upharpoonright n) \geq n - c)$ .



## Kolmogorov Complexity vs Genericity

### Theorem

- 1 (Nies) *There exists a  $K$ -trivial 1-generic real.*
- 2 (Forklore)  *$x$  is weakly 2-generic then  $x$  is  $K$ -“very low” and  $K$ -random infinitely often.*

### Question

*Finding out a complexity characterization of weak 2-randomness and genericity.*

## Lowness for Randomness and Genericity I

### Definition

Given a notion  $G$  and its relativization  $G^x$ , a real  $x$  is low for  $G$  if  $G = G^x$ .

### Theorem

- 1 (Stephan and Yu) A real is low for weakly 1-random then it must have a degree strictly between recursively traceability and hyperimmune-freeness.
- 2 (Stephan and Yu) A real is low for weakly 1-generic iff it hyperimmune-free and non-DNR.

## Lowness for Randomness and Genericity II

### Theorem

1

- (Hirschfeldt and Nies) A real  $x$  is low for 1-random iff it is there exists a constant  $c$  so that  $\forall n(K(x \upharpoonright n) \leq K(n) + c)$ .
- (Greenberg, Miller + Yu) A real is low for 1-generic iff  $x$  is recursive.

2

(DNWY+Miller + Nies) A real  $x$  is low for weakly 2-random iff it is there exists a constant  $c$  so that  $\forall n(K(x \upharpoonright n) \leq K(n) + c)$ .

## Forcing vs Genericity and Randomness

### Definition

Let  $(P_n, \leq)$  be a forcing notation where  $P_n = \{A \subseteq 2^\omega \mid A \in \Pi_n^0 \wedge \mu(A) > 0\}$  and  $\leq = \subseteq$ .  $A \Vdash \varphi$  if  $\varphi(x)$  is true for all  $x \in A$ .  $x$  is Solovay  $n$ -generic if for every  $\Pi_n^0$ -formula, there is a condition  $x \in A$ ,  $A$  decides  $\varphi$ .

### Theorem

- 1 (Jockusch)  $x$  is  $n$ -generic iff  $x$  forces all of  $\Sigma_n^0$  sentences in the Cohen forcing sense.
- 2 (Kurtz)  $x$  is weakly  $n$ -random iff  $x$  is Solovay  $n$ -generic.

## Van Lambalgen's Theorem

### Theorem

- 1 (van Lambalgen)  $x \oplus y$  is  $n$ -random iff  $x$  is  $n$ -random and  $y$  is  $n$ - $x$ -random.
- 2 (Forklore)  $x \oplus y$  is  $n$ -generic iff  $x$  is  $n$ -generic and  $y$  is  $n$ - $x$ -generic.

## Relativized Randomness

### Theorem

- 1 (Miller and Yu) For any real  $z$  and 1-random reals  $x \leq_T y$ , if  $y$  is 1- $z$ -random then  $x$  is 1- $z$ -random.
- 2 (CDGHM) M-Y Theorem holds for  $n$ -genericity if  $n \geq 2$ , but fails for 1-genericity.

## Higher Up

### Definition

Given a class of sets of reals  $T$ ,

- 1 A real  $x$  is  $T$ -random if  $x$  is not in any null set in  $T$ .
- 2 A real  $x$  is  $T$ -generic if  $x$  is in every dense set in  $T$  where  $T$  is also a class of open sets.

### Theorem

- 1 (Sacks+Hjorth, Nies+Chong, Nies, Yu)  $\Pi_1^1$ -randomness  $\subset$   
 $\Pi_1^1$ -Martin-Löf randomness  $\subset$   $\Delta_1^1$ -randomness  
 $=$   $\Delta_1^1$ -Martin-Löf randomness.
- 2  $\Pi_1^1$ -genericity  $=$   $\Delta_1^1$ -genericity.

## Traceability

### Definition

- (i) Let  $h : \omega \rightarrow \omega$  be a nondecreasing unbounded function that is hyperarithmetical. A  $\Pi_1^1$ -trace/ $\Delta_1^1$ -trace with bound  $h$  is a uniformly  $\Pi_1^1$ /uniformly  $\Delta_1^1$  sequence  $(T_e)_{e \in \omega}$  such that  $|T_e| \leq h(e)$  for each  $e$ .
- (ii)  $A \subseteq \omega$  is  $\Pi_1^1$ -traceable/ $\Delta_1^1$ -traceable if there is  $h \in \Delta_1^1$  such that, for each  $f \leq_h A$ , there is a  $\Pi_1^1$ -trace/ $\Delta_1^1$ -trace with bound  $h$  such that, for each  $e$ ,  $f(e) \in T_e$ .

### Proposition (Chong, Nies and Yu)

*If  $x$  is  $\Pi_1^1$ -traceable, then  $x$  is  $\Delta_1^1$ -traceable.*



## Lowness properties

### Theorem

- 1 (Chong, Nies and Yu) Lowness for  $\Delta_1^1$  randomness =  $\Delta_1^1$ -traceability.
- 2 (Hjorth and Nies) Lowness for  $\Pi_1^1$ -Martin-Löf randomness = Hyperarithmetic.
- 3 (Harrington, Nies and Slaman) Lowness for  $\Pi_1^1$ -randomness = Lowness for  $\Delta_1^1$  randomness + non-random-cuppable.
- 4 (Yu) Lowness for  $\Delta_1^1$ -genericity  $\supseteq$   $\Delta_1^1$ -traceability.

## Beyond Recursion Theory

### Theorem

Assume PD if  $n \geq 1$ .

- (Kechris) There exists a largest  $\Pi_{2n+1}^1$  and  $\Sigma_{2n}^1$  null set.
- (Kechris) There exists a largest  $\Pi_{2n+1}^1$  and  $\Sigma_{2n}^1$  meager set.
- (Sacks+Tanaka+Kechris) Each non-null  $\Pi_{2n+1}^1$  set contains a  $\Delta_{2n}^1$  real.
- (Hinman+Kechris) Each non-meager  $\Pi_{2n+1}^1$  set contains a  $\Delta_{2n}^1$  real.

## Some questions

### Question

- 1 *How far can genericity and randomness theory go under PD?*
- 2 *Finding out an inner model to develop higher genericity and randomness theory.*

Thank you