Call-by-value non-determinism in a linear logic type discipline

Alejandro Díaz-Caro* Université Paris-Ouest & INRIA Giulio Manzonetto

LIPN, Université Paris 13

Michele Pagani LIPN, Université Paris 13

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M enjoys both properties α and β

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Resource-aware intersection types [De Carvalho'07] Let us change point of view:

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Hence $\alpha \cap \alpha \neq \alpha \implies$ Multisets

Used to capture quantitative properties of programs, e.g.: CBN λ -calculus: number of linear head-reduction steps [De Carvalho'07] CBV λ -calculus: number of weak head-reduction steps [Ehrhard'12]

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Our goal: extend Ehrhard's system with non-determinism

May/Must-convergent non-determinism

Consider the CBV λ -calculus extended with...

Non-deterministic choice

M + N The machine choses either M or N

Parallel composition

 $M \parallel N$ The machine interleaves reductions in M and in N

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Non-deterministic choice

M + N The machine choses either M or N

► The non-deterministic choice M + N is may-convergent: it converges if either M or N converges

Parallel composition

 $M \parallel N$ The machine interleaves reductions in M and in N

► The parallel composition M || N is must-convergent: it converges if both M and N do

$\Lambda_{+\parallel}$: Its syntax and operational semantics

+ Contextual rules selecting the *head* redex...

The reduction is *lazy* (it does not reduce under λ -abstractions)

$\Lambda_{+\parallel}$: Its syntax and operational semantics

Reduction semantics

β_{v} -reduction	+-reductions	-reductions
$() \times AA) \setminus (\times AA[) \setminus AZ$	$M + N \rightarrow M$	$(M \parallel N)P \rightarrow MP \parallel NP$
$(\lambda x.M)V \to M[V/x]$	$M + N \rightarrow N$	$V(M \parallel N) o VM \parallel VN$

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Convergence
$$M$$
 converges \Leftrightarrow $M \rightarrow^* V_1 \parallel \cdots \parallel V_n$

Examples and remarks

Application is bilinear

$$(M + M')(N + N') \stackrel{op}{\equiv} MN + MN' + M'N + M'N'$$

 \dots but λ -abstraction is not

$$\lambda x.(M+N) \stackrel{op}{\neq} \lambda x.M + \lambda x.N$$

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Example of parallel composition and non-deterministic choice

 $(\lambda x.(x \parallel x))(V + V')$ converges to either $V \parallel V$ or $V' \parallel V'$ $(\lambda x.(x + x))(V \parallel V')$ converges to $V \parallel V'$ only

Linear logic based type system

Translation: Intuitionistic Logic \mapsto Polarized fragment of LL

$$\iota^{\mathsf{v}} = \iota, \qquad (\alpha \to \beta)^{\mathsf{v}} = \alpha^{\mathsf{c}} \multimap \beta^{\parallel}, \qquad \alpha^{\mathsf{c}} = !\alpha^{\mathsf{v}}, \qquad \alpha^{\parallel} = !\alpha^{\mathsf{c}}$$

Based on [Ehrhard'12], based on second Girard's translation.

Intuitions from the relational semantics of LL

- ► The type for computations (·)^c is a multiset [α^v₁,..., α^v_n] of value types (representing n calls to a single value of type α^v_i),
- The type of parallel compositions (·)^{||} is another multiset [α^c₁,...,α^c_n] of types of each term in the composition,
- The type for values $(\cdot)^{\nu}$ are either basic types or functional types,
- A functional type in this system is a pair $(\alpha^c, [\alpha_1^c, \dots, \alpha_n^c])$.

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Notation

$$\begin{array}{cccc} \text{First multiset layer} & \longrightarrow & \otimes \\ & \text{Second multiset layer} & \longrightarrow & \Im \\ \text{Functional type } (\alpha^c, [\alpha_1^c, \dots, \alpha_n^c]) & \longrightarrow & \alpha^c \multimap \alpha_1^c \, \Im \cdots \Im \, \alpha_n^c \\ & \text{Empty computational multiset} & \longrightarrow & \mathbf{1} \end{array}$$

Grammar of Types:

- \otimes
- 23
- 1 neutral element of \otimes

tensor product par } associative and commutative

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Type environments:

 $\Gamma = x_1 : \tau_1, \ldots, x_n : \tau_n$ represents the map

$$\Gamma(y) = \begin{cases} \tau_i & \text{if } y = x_i, \\ \mathbf{1} & \text{otherwise.} \end{cases}$$

Tensor is extended to environments pointwise $(\Gamma \otimes \Delta)(x) = \Gamma(x) \otimes \Delta(x)$.

$$\begin{array}{l} \textbf{Type inference rules} \\ \frac{\Delta \vdash M: \alpha}{\Delta \vdash M + N: \alpha} +_{\ell} \qquad \frac{\Delta \vdash N: \alpha}{\Delta \vdash M + N: \alpha} +_{r} \end{array}$$

+ is may-convergent, so it is enough that one term is typable

Type inference rules

$$\frac{\Delta \vdash M : \alpha}{\Delta \vdash M + N : \alpha} +_{\ell} \qquad \frac{\Delta \vdash N : \alpha}{\Delta \vdash M + N : \alpha} +_{r}$$

$$\frac{\Delta \vdash M : \alpha_{1} \qquad \Gamma \vdash N : \alpha_{2}}{\Delta = \Gamma \vdash M + M} \parallel_{\ell}$$

 $\Delta \otimes \Gamma \vdash M \parallel N : \alpha_1 \approx \alpha_2$

+ is may-convergent, so it is enough that one term is typable

∥ is must-convergent, so both components must be typable

$$\begin{aligned} & \frac{\Delta \vdash M : \alpha}{\Delta \vdash M + N : \alpha} +_{\ell} \quad \frac{\Delta \vdash N : \alpha}{\Delta \vdash M + N : \alpha} +_{r} & \text{ is may-convergent, so it is enough that one term is typable} \\ & \frac{\Delta \vdash M : \alpha_{1} \quad \Gamma \vdash N : \alpha_{2}}{\Delta \otimes \Gamma \vdash M \parallel N : \alpha_{1} \stackrel{\mathcal{D}}{\to} \alpha_{2}} \parallel_{I} & \parallel \text{ is must-convergent, so both components must be typable} \\ & \frac{\Delta \vdash M : \frac{\lambda}{N} \bigotimes_{i=1}^{n_{i}} (\tau_{ij} \multimap \alpha_{ij})}{\Delta \otimes \bigotimes_{i=1}^{k} \Gamma_{i} \vdash MN : \sum_{j=1}^{n_{i}} \tau_{jj} \quad 1 \le i \le k \\ & \Delta \otimes \bigotimes_{i=1}^{k} \Gamma_{i} \vdash MN : \sum_{j=1}^{k} \sum_{j=1}^{n_{i}} \alpha_{ij} \end{aligned}$$

It reflects the distribution of the parallel operator over the application

Type inference rules
$$\Delta \vdash M : \alpha$$
 $\Delta \vdash N : \alpha$ + is may-convergent, so it $\Delta \vdash M + N : \alpha$ $\Delta \vdash M + N : \alpha$ + r is enough that one term is
typable

$$\frac{\Delta \vdash M : \alpha_1 \qquad \Gamma \vdash N : \alpha_2}{\Delta \otimes \Gamma \vdash M \parallel N : \alpha_1 \ \mathfrak{N} \ \alpha_2} \parallel_{I}$$

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$$\frac{\Delta \vdash M : \bigotimes_{i=1}^{k} \bigotimes_{j=1}^{n_{i}} (\tau_{ij} \multimap \alpha_{ij}) \qquad \Gamma_{i} \vdash N : \bigotimes_{j=1}^{n_{i}} \tau_{ij} \quad 1 \le i \le k}{\Delta \otimes \bigotimes_{i=1}^{k} \Gamma_{i} \vdash MN : \bigotimes_{i=1}^{k} \bigotimes_{j=1}^{n_{i}} \alpha_{ij}} \multimap_{E} \quad \substack{k \ge 1 \\ n_{i} \ge 1}$$

It reflects the distribution of the parallel operator over the application

$$\frac{\Delta_{i}, x: \tau \vdash M: \alpha_{i} \qquad 1 \leq i \leq n}{\bigotimes_{i=1}^{n} \Delta_{i} \vdash \lambda x.M: \bigotimes_{i=1}^{n} (\tau_{i} \multimap \alpha_{i})} \multimap_{I} \qquad n \geq 0$$

The axiom and the intersection type for values respectively

Examples

$$\Delta = x : (\tau_1 \multimap \alpha_1) \otimes (\tau_2 \multimap \alpha_2) \qquad \Gamma = y : \tau_1, y' : \tau_2$$

$$\frac{\Delta \vdash x : (\tau_1 \multimap \alpha_1) \otimes (\tau_2 \multimap \alpha_2) \qquad \Gamma \vdash y \parallel y' : \tau_1 \Im \tau_2}{\Delta \otimes \Gamma \vdash x(y \parallel y') : \alpha_1 \Im \alpha_2} \multimap_E$$

$$x(y \parallel y') \to xy \parallel xy'$$

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$$x(y \parallel y') \rightarrow xy \parallel xy'$$

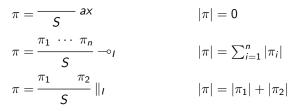
$$\Delta' = x' : (\tau_1 \multimap \alpha_3) \otimes (\tau_2 \multimap \alpha_4)$$

$$\frac{\Delta \otimes \Delta' \vdash x \parallel x' : ((\tau_1 \multimap \alpha_1) \otimes (\tau_2 \multimap \alpha_2)) \Im ((\tau_1 \multimap \alpha_3) \otimes (\tau_2 \multimap \alpha_4))}{\Gamma \vdash y \parallel y' : \tau_1 \Im \tau_2 \qquad \Gamma \vdash y \parallel y' : \tau_1 \Im \tau_2} \multimap_E$$

$$\Delta \otimes \Delta' \otimes \Gamma \otimes \Gamma \vdash (x \parallel x')(y \parallel y') : \alpha_1 \Im \alpha_2 \Im \alpha_3 \Im \alpha_4$$

$$(x \parallel x')(y \parallel y') \rightarrow^* xy \parallel xy' \parallel x'y \parallel x'y'$$

Measuring derivation trees



$$\pi = \frac{\pi_0 - \pi_1 \dots \pi_k}{S} \longrightarrow_E \quad n_i \ge 1 \quad |\pi| = \sum_{i=0}^k |\pi_i| + \left(\sum_{i=1}^k 2n_i\right) - 1$$
$$\pi = \frac{\pi'}{S} +_\ell \quad \text{or} \quad \pi = \frac{\pi'}{S} +_r \quad |\pi| = |\pi'| + 1$$
$$\text{Only} \longrightarrow_E, +_\ell \text{ and } +_r \text{ type redexes} \quad \begin{bmatrix} \beta_v \text{ and } \| \text{ redexes are typed by } \longrightarrow_E \\ + \text{ redexes by } +_\ell \text{ and } +_r \end{bmatrix}$$

Each $+_\ell$ and $+_r$ counts for 1 because a +-red. does not create new rules in the derivation typing the contractum

 $-\circ_E$ counts the number of "active" connectives in the principal premise

Measuring derivation trees (cont.)

$$\frac{\Delta \vdash M : \bigotimes_{i=1}^{k} \bigotimes_{j=1}^{n_{i}} (\tau_{ij} \multimap \alpha_{ij}) \qquad \Gamma_{i} \vdash N : \bigotimes_{j=1}^{n_{i}} \tau_{ij} \quad 1 \le i \le k}{\Delta \otimes \bigotimes_{i=1}^{k} \Gamma_{i} \vdash MN : \bigotimes_{i=1}^{k} \bigotimes_{j=1}^{n_{i}} \alpha_{ij}} \multimap_{E}} \multimap_{E}$$

The \parallel -reduction creates two new \multimap_E rules in the derivation typing the contractum

The measure decreases because the sum of their weights is less than the weight of the eliminated rule

Properties of the type system

Our system enjoys a quantitative version of standard properties.

Subject reduction

Let $\pi = \Delta \vdash M : \alpha$

▶ If
$$M \to N$$
 without +-red. then $\exists \pi' = \Delta \vdash N : \alpha$

▶ If
$$M \to N_1$$
 and $M \to N_2$ with +-red.
then $\exists \pi' = \Delta \vdash N_1 : \alpha$ or $\pi' = \Delta \vdash N_2 : \alpha$

In both cases, $|\pi'| = |\pi| - 1$

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Subject expansion

lf	$M \to N$	and	$\pi = \Delta \vdash$	$N: \alpha$
then	$\exists \pi' = I$	$\Delta \vdash M : \alpha$	s.t.	$ \pi' = \pi + 1$

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Characterization of convergence

Let M closed. M typable \Leftrightarrow M converges

Can we say anything more quantitative?

Combinatorial proof of normalization

Measure

Let M be a closed term. If π is a derivation of

 $\vdash M : \alpha$,

then $|\pi|$ gives a bound on the number of steps *M* converges.

More precisely...

Exact bound

Let M be a closed term. If π is a derivation of

 $\vdash M: \mathbf{1} \ \mathfrak{N} \cdots \mathfrak{N} \mathbf{1},$

then M reaches its normal form in exactly $|\pi|$ steps

Properties of the underlying relational model

Let M, N and \vec{P} be closed terms.

Definitions

• A closed term *M* is interpreted by $\llbracket M \rrbracket = \{ \alpha \mid \vdash M : \alpha \}$

►
$$M \sqsubseteq N$$
 iff $\forall \vec{P} \quad \left[M \vec{P} \text{ converges } \Rightarrow N \vec{P} \text{ converges} \right]$

As a corollary of the Convergence Theorem we get:

Adequacy
$$\llbracket M \rrbracket \subseteq \llbracket N \rrbracket$$
 implies $M \sqsubseteq N$

Lack of full abstraction

Lack of full abstraction

 $M \sqsubseteq N$ does not imply $\llbracket M \rrbracket \subseteq \llbracket N \rrbracket$

CBV $\lambda\text{-calculus}$ admits the creation of an ogre

$$\mathbf{Y}^{\star} = \Delta^{\star} \Delta^{\star}$$
 where $\Delta^{\star} = \lambda xy.xx$.

Remark: The ogre \mathbf{Y}^* is a top of \sqsubseteq :

$$\mathbf{Y}^{\star}V\vec{V}' \to (\lambda y.\mathbf{Y}^{\star})V\vec{V}' \to \mathbf{Y}^{\star}\vec{V}' \to \cdots \to \mathbf{Y}^{\star}$$

All types of \mathbf{Y}^* have shape $\alpha = \bigotimes_{i=0}^n (\mathbf{1} \multimap \alpha_i)$.

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All types of \mathbf{Y}^{\star} have shape $\alpha = \bigotimes_{i=0}^{n} (\mathbf{1} \multimap \alpha_{i}).$

Counterexample (independent from + and ||) Let $I = \lambda x.x$, then $I \sqsubseteq Y^*$, while $\llbracket I \rrbracket \not\subseteq \llbracket Y^* \rrbracket$ since $(1 \multimap 1) \multimap (1 \multimap 1) \in \llbracket I \rrbracket - \llbracket Y^* \rrbracket$

Summarising

- We introduced a call-by-value non-deterministic λ-calculus with a type system ensuring convergence
- The type system gives a bound of the length of the lazy cbv reduction sequences (exact when the typing is minimal)
- We show an adequate (but not fully abstract) model capturing the type system