Topologically Continuous Associative Memory: 
A Theoretical Foundation

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Abstract
We introduce a neural network with associative memory and a continuous topology, i.e. its processing units are elements of a continuous metric space and the state space is Euclidean and infinite dimensional. This approach is intended as a generalization of the previous ones due to Little and Hopfield. Thus we integrate two levels of continuity: continuous response units and continuous topology of the neural system, obtaining a more biologically plausible model of associative memory. A theoretical background is provided so as to make this integration consistent. We first present some general results concerning attractors and stationary solutions, including a variational approach for the derivation of the energy function. Then we focus on the case of orthogonal memories, proving theorems on their stability, size of attraction basins and spurious states. Finally, we get back to discrete models, i.e. we discuss new viewpoints arising from the present continuous approach and examine which of the new results are also valid for the discrete models.

Keywords: associative memory, continuous topology, dynamical systems, Hopfield model, infinite dimensional state space, stability.

1 Introduction
In seminal papers, Little [8][9] and Hopfield [6] constructed a content-addressable memory as a dense network of artificial neurons that are represented as elementary bistable processors. Addressability is guaranteed by the dissipative dynamics of the system. It consists of switching each processor from one of its stable configurations to the other as a consequence of the intensity of the local field acting on it. The memories, corresponding to fixed points of the dynamics, are stored in the system in a distributed manner through the matrix of two-body interactions (synaptic efficacies) between the neurons. If this matrix is properly defined, the above dynamics is enough so as to ensure a monotonic decrease of
an “energy” function. Thus, starting from an arbitrary configuration the system is led to a local minimum that corresponds to the nearest stored memory.

In a later paper, Hopfield [7] aimed at a more realistic model by replacing bistable neurons by graded response devices. In fact, a classical objection to the former model [6][8][9] was that a two-state representation of the neural output is, from a biological point of view, an oversimplification and that it is necessary to describe relevant neural activity by firing rates, rather than merely by the presence or the absence of an individual spike\(^1\). In either case the retrieval process is again guaranteed by the nature of the matrix of synaptic efficacies. However, in [7] the space of states describing the patterns of activity remained discrete, in the sense that the number of units was, at most, countable. This was an open gap in the plausibility of the model. In fact, since the Little model was formulated to describe the computational ability of an ensemble of simple processing units, it was necessary to reconcile the biological evidence of a true continuum of the neural tissue with the descriptions provided by discrete models inspired in an Ising system. While the empirical evidence always shows patterns of activity or quiescence involving patches with finite sizes, the ferromagnetic approach suggests systems with discrete processing units with no finite dimensions. In spite of this simplification all the discrete models have been remarkably successful in describing emergent processing abilities that correspond to stylized facts concerning basic elementary cognitive processes.

In this paper we introduce a neural network with associative memory whose processing units are elements of a continuous metric space. This model is intended as a generalization of the previous ones due to Little and Hopfield. Our main purpose is to provide rigorous proofs in the sense that it is actually possible to formulate a system of associative memory with continuous response units and a continuous topological structure on the set of such units. We conceive the network so as to preserve the salient features that made attractive all the discrete models, especially the levels of continuity that the Hopfield model with graded response [7] added to the discrete one [6]: continuous-valued units and continuous scale of time, via the transition from discrete to continuous, differential equation dynamics. In spite of the fact that the corresponding space of configurations is an infinite dimensional functional space, we can define a basic simple dynamics having asymptotic, stationary solutions which can be associated to minima of an energy functional of Lyapunov type and can be taken to represent the memories that are stored in the system.

We have already introduced in a previous paper [12] several of the results included here, but none of them was supported on rigorous proof. The present article is devoted to provide a solid theoretical foundation for that sketch. We place emphasis on a detailed analysis of orthogonal memories, which constitute a relevant particular case of the general theory (in fact, a deep comprehension of orthogonal memories is essential to understand the general pseudo-orthogonal

\(^{1}\)However, there is at present an increasing agreement that spiking neurons have some properties for describing certain aspects of neural dynamics not completely covered by rate-coding models.
case). However, we also present some other more general results.

Some approaches closely related to ours have appeared in recent years [10][11]. In particular, the concept of field computation, introduced by MacLennan, has a similar inspiration in the fact that many neural phenomena can be conveniently described as a field, i.e. the distribution of some physical quantity over a continuous space, with some topology associated to it. On the other hand, the big number of neurons per square millimeter that can be found throughout most of the brain cortex, justifies the treatment of neural activity as a field.

All these arguments are related to our approach. However, this is aimed to a different purpose, which is that of formulating an extended model of associative memory and theoretically founding it, including justification of its potential as a tool for modelling cognitive processes of memory and learning.

Moreover, in another previous paper [13], we have already proposed a generalization of the nondeterministic, finite temperature Glauber dynamics [3] to the case of a finite number of graded response neurons (Hopfield’84). We did this by casting the retrieval process of a Hopfield model with continuous-valued units, into the framework of a diffusive process governed by the Fokker-Plank equation. We thus provided a description of the transitional regime that prevails during the retrieval process, which is currently disregarded. In other words, we unified the graded response units model [7] and the stochastic approach, obtaining a description of the retrieval process at both the microscopic, individual neuron level and the macroscopic level of time evolution of the probability density function over the space of all possible activation patterns, i.e. an equation describing, for each possible pattern, how, given an initial probability for the system being in it, this probability changes upon time.

The paper is organized as follows. In Section 2 we give some basic concepts and definitions. Section 3 provides some general results concerning attractors and stationary solutions, including a variational approach for the derivation of the energy function. In Section 4, we focus on the orthogonal case, proving theorems on the stability of the memories and of the origin. Section 5 presents a result on the size of basins of attraction and Section 6 analyses the question of spurious states. Finally, in Section 7 we get back to discrete models, i.e. discuss new viewpoints arising from the present continuous approach and examine which of the new results are also valid for the discrete models.

2 Basic definitions and first results

Assume that $v(x,t)$ describes the activity of a point-like neuron located in $x$ at time $t$. This pattern of activity evolves according to:

$$\frac{\partial v(x,t)}{\partial t} = -v(x,t) + g_\sigma \left( \int_K T(x,y)v(y,t)dy \right)$$

with $v(x,t) : K \times \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}$, $K \subset X$. $X$ is a metric space, $K$ a compact domain, $g_\sigma$ a sigmoid function, i.e. $g_\sigma \in C^1(\mathbb{R})$, non decreasing, odd and sat-
isfying \( \lim_{x \to \pm \infty} g_\sigma(x) = \pm V_M \), \( \lim_{x \to \infty} g_\sigma(x) = sgn(x) \forall x \neq 0 \), \( g_\sigma(x) \leq \min\{V_M, \sigma x\} \) and \( g_\sigma(0) = \sigma \).

Let \( S \) be the set of all possible states \( v(x) \) (patterns of activity) of the system. Then a solution \( v(x, t) \) fulfilling (1) is a trajectory in \( S \).

We can assume that \( V_M = 1 \). As for \( T : K \times K \to R \), we assume it is continuous almost everywhere (a.e.) in order to ensure that the integral is well defined. As a natural extension of the discrete case we introduce the local field on (or net input to) the neuron located in \( x \) when the state of the system is \( v(y, t) \):

\[
h^V_t(x) = \int_K T(x, y)v(y, t)dy
\]

For \( t = 0 \) we write \( h^V(x) = h^V_0(x) \). Note that \( h^V \) is linear in \( v \).

Let \( v^0(t, x) = v^0(x, 0) \) be an initial condition and \( v(x, t) \) the solution of (1) associated to it. We say that \( v^\mu(t, x) \) is a memory or an attractor if and only if:

1) \( v^\mu(t, x) \) is an equilibrium point, i.e. \( v^\mu(x) = g_\sigma(h^V_t(x)) \) a.e.

2) For every \( t_0 \geq 0 \) and \( v_0 \) a different initial condition corresponding to the solution \( v \), there exists \( \delta(t_0) > 0 \) such that if \( \| v^\mu - v_0 \| < \delta \) then \( \| v^\mu(t, \cdot) - v(\cdot, t) \| \to 0 \) when \( t \to \infty \).

Hence, attractors are stationary solutions of (1). Assume that \( S = L^2(K) \) and that \( |K| < \infty \) (\( K \) has finite Lebesgue measure).

We define the energy of the system at time \( t_0 \) as the functional:

\[
H[v(\cdot, t_0)] = -\frac{1}{2} \int_K \int_K T(x, y)v(x, t_0)v(y, t_0)dxdy + \int_0^{\|v(x, t_0)\|} g_\sigma^{-1}(s)dsdx
\]

where \( H[v(\cdot, t_0)] \) means that \( v \) is viewed as a function of \( x \). Thus, each \( v \) in \( S \) has an energy \( H(v) \). This is an extension of the energy as defined in [7] for the (discrete) model with graded response functions.

### 2.1 Attractors and stationary solutions

We begin by proving a useful property:

**Lemma 2.1:** if \( v(x, t) \) is a general solution (for some initial condition) of (1), then it holds that:

\[
\frac{\partial h^V_t(x)}{\partial t} = -h^V_t(x) + \int_K T(x, y)g_\sigma(h^V_t(y))dy
\]

**Proof:** straightforward, noting that

\[
\frac{\partial h^V_t(x)}{\partial t} = \frac{\partial}{\partial t} \left[ \int_K T(x, y)v(y, t)dy \right] = \int_K T(x, y)\frac{\partial v(y, t)}{\partial t}dy
\]
and using (1).

From now on we assume that $T$ is symmetric.

**Theorem 2.2:** $H$ is monotonically decreasing with $t$ and reaches its minima at $v_{te}(x) = v(x, t_e)$ such that

$$\left[ \frac{\partial v}{\partial t} (x, t) \right]_{t_e} = 0$$

a.e. in $K$ (in words, given a solution $v(x, t)$ corresponding to some initial condition, the minima of $H$ are equilibrium points of the system). This theorem, as well as its proof, generalizes the classical equivalent result for the discrete Hopfield model with graded response neurons [7] (see for example [1][5]).

**Proof:** let $v(x, t)$ be a solution of (1) and assume it has a derivative a.e. First observe that

$$\frac{d}{dt} \left[ \int_0^{v(x,t)} g_\sigma^{-1}(s) ds \right] = h_\sigma^\gamma(x) \frac{\partial v(x,t)}{\partial t}.$$ 

Differentiating $H$ with respect to $t$ (under the integral sign) yields:

$$\frac{\partial H(v(x,t))}{\partial t} = - \int_K \frac{\partial v(x,t)}{\partial t} \left[ \int_K T(x,y)v(y,t)dy - h_\sigma^\gamma(x) \right] dx$$ 

(4)

Noting that $\frac{\partial v(x,t)}{\partial t} = g_\sigma' (h_\sigma^\gamma(x)) \frac{\partial h_\sigma^\gamma(x)}{\partial t}$ and using lemma 2.1 we obtain:

$$\frac{\partial H(v(x,t))}{\partial t} = - \int_K g_\sigma' (h_\sigma^\gamma(x)) \left( \frac{\partial h_\sigma^\gamma(x)}{\partial t} \right)^2 dx \leq 0$$

Then, $H$ is monotonic. It always decreases with $t$, except when it reaches a minimum, i.e. at some $t_e$:

$$\frac{\partial H(v(x,t_e))}{\partial t} = 0 \iff \frac{\partial h_\sigma^\gamma(x)}{\partial t} |_{t_e} = 0 \quad \text{a.e. in } K$$

since $g_\sigma' > 0$. But

$$\frac{\partial v}{\partial t}(x, t_e) = g_\sigma' (h_\sigma^\gamma(x)) \frac{\partial h_\sigma^\gamma(x)}{\partial t} |_{t_e} \Rightarrow \frac{\partial H(v(x, t_e))}{\partial t} = 0 \iff \frac{\partial v}{\partial t}(x, t_e) = 0 \quad \text{a.e. in } K$$

Memories or attractor states, as defined in this section, satisfy the above conditions. However, the reciprocal implication is not necessarily true: from the previous theorem it does not follow that if a solution $v(x, t)$ of (1) satisfies condition (3) for some $t^*$, then $v(x, t^*)$ is an attractor. For example, the trivial solution $v \equiv 0$ satisfies it for all $t$ but, as we soon will see, its stability or instability
depends on the slope $\sigma$ of $g_{\sigma}$ at the origin. In general, the possibility to construct nontrivial memories strongly depends on such parameter.

The sigmoid function $g_{\sigma}$ plays an important role in determining in which cases the system has nontrivial stationary solutions. A necessary condition is given by the following

**Theorem 2.3:** (existence and uniqueness of the solution) If $\sigma < \frac{1}{M|K|}$, being $M$ such that $|T(x,y)| \leq M$, then the unique stationary solution of (1) is $v \equiv 0$.

**Proof:** by definition of $g_{\sigma}$, $\sigma$ is a Lipschitz constant for it. Then, assuming that $v^1$ and $v^2$ are two fixed points of the operator $A$ defined as

$$Av = g_{\sigma} \left[ \int_K T(x,y)v(y)dy \right]$$

we get (using the $L^2$ norm):

$$|Av^1(x) - Av^2(x)| = |g_{\sigma}(\int_K T(x,y)v^1(y)dy) - g_{\sigma}(\int_K T(x,y)v^2(y)dy)|$$

$$\leq \sigma \left| \int_K T(x,y)v^1(y)dy - \int_K T(x,y)v^2(y)dy \right| \leq \sigma M \left| K \right| \|v^1 - v^2\|$$

being $M$ an upper bound for $|T(x,y)|$ (which exists since $T$ is continuous and $K$ is compact). Finally:

$$\|Av^1 - Av^2\| \leq \left| K \right| ^{\frac{1}{2}} \sup_{x \in K} |Av^1(x) - Av^2(x)| < \sigma M \left| K \right| \|v^1 - v^2\|$$

Then $A$ is a contraction and has a unique fixed point provided $\sigma < \frac{1}{M|K|}$.

Besides the condition $\sigma M \left| K \right| \geq 1$, other ones (see next section) have to be fulfilled in order to ensure the actual existence of nontrivial solutions.

### 2.2 A variational approach

Historically, the function $H$ as presented in [6] and [7] is probably a late innovation in the formulation of the corresponding dynamics. We may conjecture that the sequence of concepts at the genesis of the Hopfield model was as follows:

i) A stability condition is imposed ($1 \leq i \leq N$):

$$S_i = sgn \left( \sum_j T_{ij}S_j \right) \quad [6] \quad \text{or} \quad V_i = g_{\sigma} \left( \sum_j T_{ij}V_j \right) \quad [7]$$
ii) A dynamics is proposed such that its equilibria are the states satisfying i):
\[ S_i(t+1) = \text{sgn} \left( \sum_j T_{ij} S_j(t) \right) \quad [6] \text{ or } \quad \frac{\partial V_i}{\partial h} = -V_i + g_\sigma \left( \sum_j T_{ij} V_j \right) \quad [7] \]

iii) A “Lyapunov function” is constructed whose minima are the attractors for the dynamics ii):
\[ H[S] = -\frac{1}{2} \sum_{ij} T_{ij} S_i S_j \quad [6] \text{ or } \quad H[V] = -\frac{1}{2} \sum_{ij} T_{ij} V_i V_j + \sum_i V_i \int g_\sigma^{-1}(V)dV \quad [7] \]

In our case (infinite dimensional state space \( S \)), step i) is given by the condition \( v^\mu(x) = g_\sigma(h v^\mu(x)) \) a.e. in \( K \) while ii) corresponds to equation (1) and iii) to the functional \( H \) as defined by (2).

It is easy to go from i) to ii). As for deriving iii) from ii), it can be suggested that the main source of Hopfild’s and Little’s works was statistical mechanics. In our case, however, it is possible to obtain the same model through a variational approach. We will prove, by computing the variation of \( H \) (considered as a functional from \( L^2(K, \mathbb{R}) \) onto \( \mathbb{R} \)), that every minimum of \( H \) is also an equilibrium for the dynamics. Let us consider a function \( \varphi : K \rightarrow \mathbb{R} \) continuous such that \( \varphi |_{\partial K} = 0 \).

\[
\frac{\partial H(v + \varepsilon \varphi)}{\partial \varepsilon} |_{\varepsilon=0} = -\frac{1}{2} \int_K \int_K \frac{\partial}{\partial \varepsilon} T(x,y)[v(x) + \varepsilon \varphi(x)][v(y) + \varepsilon \varphi(y)]dxdy |_{\varepsilon=0}
\]

\[
+ \int_K \frac{\partial}{\partial \varepsilon} \int_0 T(x,y)[v(x) + \varepsilon \varphi(x)]g_\sigma^{-1}(s)dsdx |_{\varepsilon=0}
\]

\[
= \int_K \left[ -\int_K T(x,y)v(y)dy + g_\sigma^{-1}(v(x)) \right] \varphi(x)dx
\]

In order for \( v \) being a minimum for \( H \), that quantity must vanish for every \( \varphi \) in the above conditions, which forces the term between brackets to vanish a.e. in \( K \), i.e. \( v(x) = g_\sigma \left( \int_K T(x,y)v(y)dy \right) \) a.e. in \( K \). Thus, the following theorem holds:

**Theorem 2.4:** if \( v \in L^2(K) \) is a local minimum for \( H \) then it is an equilibrium
point of (1).
This result is obtained without using the fact that $H$ is decreasing (theorem 2.2). Adding that property, it is possible to conclude:

**Corollary 2.5:** $v \in L^2(K)$ is a local minimum for $H$ if and only if it is an attractor for (1).

### 2.3 A general feature of attractor memories

On the base of the previous results, it can be proved that when $\sigma \to \infty$, the attractors approach the asymptotic bounds of $g_\sigma$.

**Theorem 2.6:** if for some $\varepsilon > 0$ it holds that $T(x, y) \geq 0$ when $|x - y| < \varepsilon$, then

$$
\lim_{\sigma \to \infty} \max_{v \text{ attractors, } x \in K} \min_{v \in \mathbb{R}} \{1 - v(x), 1 + v(x)\} = 0
$$

**Proof:** consider an attractor $v(x)$ (keeping in mind that this notation stands for $v(x, t_e)$ for some solution of (1) at time $t_e$). Note that, by virtue of theorem 2.4 and corollary 2.5, if $v(x)$ is an attractor (a memory), then it is a local minimum of $H$. First remark that

$$
\int_K \int_0^{v(x)} g_\sigma^{-1}(v) dv dx = \frac{1}{\sigma} \int_K \int_0^{v(x)} g^{-1}(v) dv dx \to 0 \quad \text{a.e.}
$$

independently of $v(x)$. We then need to consider only the quantity

$$
H_1 = -\frac{1}{2} \int_K \int_K T(x, y)v(x)v(y)dxdy
$$

Suppose that $v \in L^2(K)$ is a minimum and there exists an element $x^* \in K$ such that $v(x^*) \in (-1, 1)$. Then, from the piecewise continuity of the solutions, there exists a closed neighborhood $U$ of $x^*$ such that $v(y) \in (-1, 1) \forall y \in U$ and we may write:

$$
H_1 = -\frac{1}{2} \left\{ \int_{K-U} \int_{K-U} T(x, y)v(x)v(y)dxdy + \int_{K-U} \int_{K-U} T(x, y)v(x)v(y)dxdy 
+ \int_{K-U} \int_U T(x, y)v(x)v(y)dxdy + \int_U \int_T T(x, y)v(x)v(y)dxdy \right\}
$$

Let us name $A$, $B$, $C$ and $D$ the four terms in $H_1$ from left to right. $D$ is positive since $T(x, y) \geq 0$ when $|x - y| < \varepsilon$ (choosing $U$ small enough). $A$ does
not depend on values in $U(x^+)$. Finally, $B$ and $C$ are equal and reduce to:

$$2 \int_\mathcal{U} v(x) \left[ \int_{\mathcal{K}-\mathcal{U}} T(x, y)v(y)dy \right] dx = 2 \mid U \mid v(\xi) \int_{\mathcal{K}-\mathcal{U}} T(\xi, y)v(y)dy$$

for some $\xi \in U$. Then, redefining $v$ in $U$ as

$$v(x) = \text{sgn} \left( \int_{\mathcal{K}-\mathcal{U}} T(x, y)v(y)dy \right) \quad \text{if} \quad x \in U \quad (5)$$

$B + C$ increases (while $A$ remains unchanged and $D$ also increases). Then $H_1$ decreases and hence $H$ decreases as well. This is a contradiction, due to the assumption that $v$ as defined initially was a minimum.

**Remark:** the last step of the proof (5) can naturally be regarded from the point of view of a ferromagnetic model (with an infinite number of elements in this case). Every state change that implies the alignment of one (or more) elements according to the local magnetic field acting on it, decreases the total energy of the system.

### 3 Orthogonal memories, Hebbian synapses

The case we are specially interested in is the storage of orthogonal memories when the matrix of synaptic weights is Hebbian. This can be achieved through a straightforward generalization of the Hebb rule [4]. Let $\{v^\mu\}$ be an orthogonal set of functions in some space $S(K)$, that is to say $(v^\mu, v^\nu) = 0$ if $\mu \neq \nu$. In principle, $S(K)$ may be noncountable and hence we can define in general:

$$T(x, y) = \frac{1}{|K|} \int_P v^\mu(x)v^\rho(y)d\rho$$

for $\rho \in P$ some index set. In the case $\{v^\mu\}$ is an orthogonal set in $L^2(K)$, it is at most countable (provided the separability of $L^2(K)$). Therefore it is natural to restrict to the case in which $P$ is countable:

$$T(x, y) = \frac{1}{|K|} \sum_{\mu=1}^p v^\mu(x)v^\mu(y) \quad (6)$$

Then the following theorem holds:

**Theorem 3.1:** The system (1), with $T(x, y)$ defined as in (6), may have any finite number $p$ of orthogonal memories taking values in $\{V_+, -V_+\}$, where $g_0(\pm V_+^2) = \pm V_+$. 

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Proof: let $p$ be a positive integer number and $\{v^\mu\}_{\mu=1}^p \subset L^2(K)$, $(v^\mu, v^\nu) = 0$ if $\mu \neq \nu$, $v^\mu(x) \in \{-V_\ast, V_\ast\}$, $1 \leq \mu \leq p$, $x \in K$, being $V_\ast$ the number such that $g_\sigma(\pm V_\ast^2) = \pm V_\ast$ (which exists and depends on $\sigma$). Defining $T$ according to (6) it holds that, for any $\mu$:

$$g_\sigma(h^\mu(x)) = g_\sigma\left(\int_K T(x,y)v^\mu(y)dy\right) = g_\sigma\left(\int_K \frac{1}{K} \sum_{\nu=1}^p v^\nu(x)v^\nu(y)v^\mu(y)dy\right)$$

Since the integrals are finite, we can interchange the sum and the integration, thus obtaining:

$$g_\sigma\left(\frac{1}{K} \sum_{\nu=1}^p v^\nu(x)\delta_{\nu,\mu} \|v^\mu\|^2\right) = g_\sigma\left(\frac{v^\mu(x) V_\ast^2}{|K|}\right) = v^\mu(x)$$

Then, $v^\mu(x,t) = v^\mu(x) \forall t > 0$ is a fixed point of equation (1).

These solutions $v^\mu(x)$ look like the example depicted in Figure 1. Activation patterns of this kind agree with the intuitive generalization of the attractors of an Ising-type, spin glass discrete neural network in which patches of full activation alternate randomly with those of full quiescence. They can also be viewed as the vertices of an infinite (noncountable) dimensional hypercube.

A question arising is whether the set of orthogonal fixed points of (1) can be infinite. Note first that it is countable: the elements $v^\mu$ as they were defined belong to $L^2(K)$, a separable space; hence every orthogonal set in it must be countable. However even an infinite countable number of orthogonal fixed points is not possible while preserving the integrability of $T$. Observe that if there are $k_\mu$ changes of sign in $v^\mu$ then each term of the form $v^\mu(x)v^\nu(y)$ divides the domain $K \times K$ in $(k_\mu + 1)^2$ square regions. Moreover, each region is separated from the next by discontinuity lines because such term takes the constant values $+V_\ast^2$ or $-V_\ast^2$. If the set of memories is infinite, the number of terms in $T$ that are added is also infinite, therefore those discontinuity lines are dense at least in a neighborhood of some point, and $T$ ceases to be piecewise continuous.

Note that theorem 3.1 implies a qualitative difference between discrete and continuous models. Since the memory capacity is now unbounded, there is nothing like a “phase diagram” in which, for a domain $K$ and above some critical number $p_\ast$ of memories, a transition to a “confusion phase” takes place, implying a rapid degradation of the retrieval ability. While in discrete models the size of the domain is determined by the dimension of the state space ($p_\ast = c N$), in the present case this dimension is infinite and hence there is no $p_\ast$.

Discussions on discrete models are mostly made in the thermodynamic limit in which either the number of neurons and the number of memories are taken to tend to infinity while their ratio is kept constant. This possibility is lost in the continuous limit, but this is certainly not a problem as far as the biological plausibility of the model is concerned.

We end this section with the following results that are easy to check.
Lemma 3.2: If the memories are orthogonal, the distance between any two of them is always the same.

Corollary 3.3: Orthogonal memories are never dense in $L^2(K)$.

4 Stability of the solutions

We will now derive conditions for the elements $v^\nu$, as defined in Section 3, to be stable equilibria (i.e. memories) for equation (1).

Theorem 4.1: The elements $v^\nu$ are stable fixed points of (1) if and only if $g'_\sigma(V_2^2) < \frac{1}{\sqrt{K}}$.

Theorem 4.2: (Stability of the origin) The solution $v = 0$ is stable if and only if $g'_\sigma(0) = \sigma < \frac{1}{\sqrt{K}}$.

Proof (both theorems): the computation of the directional derivatives of $H(v)$ at an arbitrary point yields:

$$D_w H(v) = -\frac{1}{K} \sum_{\nu = 1}^{p} (v^\nu, v^\nu, w) + (g^{-1}_\sigma(v), w)$$

with $w \in L^2(K)$ and $\| w \| = 1$. Now, if $v = v^\mu$, using the condition of orthogonality and noting that $\| v^\mu \|^2 = V_2^2 | K |$, it follows that $D_w H(v) = 0$. Similarly, it is easy to check that $D_w H(v)$ vanishes in general for any element in the set span $\{v^\nu\}_{\mu = 1}^{p}$, i.e. linear combinations of the memories, when those combinations take values on $\{V_s, -V_s, 0\}$.

$$D^2_w H(v) = -\frac{1}{K} \sum_{\nu = 1}^{p} (v^\nu, w)^2 + (\frac{\partial}{\partial v} g^{-1}_\sigma(v), w)$$
But the $v^\nu$ are supposed to be orthogonal. Therefore, the use of Bessel’s inequality yields:

$$\sum_{\nu=1}^{p} \frac{(v^\nu, w)^2}{\|v^\nu\|^2} \leq \|w\|^2 = 1 \iff \sum_{\nu=1}^{p} (v^\nu, w)^2 \leq V^2_* |K|$$

hence

$$D^2_{w^2}H(v) \geq \left( \frac{\partial}{\partial v} g^{-1}_\sigma(v) w, w \right) - V^2_*$$

for any $w$ in $S$, $\|w\| = 1$. Then, a necessary and sufficient condition for an element $v$ in $S$ to be a minimum of $H$ is:

$$(g^{-1}_\sigma(v) w, w) - V^2_* > 0 \quad \forall \ w \in S, \|w\| = 1$$

Theorem 4.2 follows directly from the last equation. Applying this equation to the case in which $v = v^\mu$ and keeping in mind that $g^{-1}_\sigma(v) = (g'_\sigma(g^{-1}_\sigma(v)))^{-1}$ the above condition reduces to

$$(\frac{w}{g'_\sigma(g^{-1}_\sigma(v))}, w) - V^2_* = (\frac{w}{g'_\sigma(V^2_* v^\mu)}, w) - V^2_* = \frac{1}{g'_\sigma(\pm V^3_*)} - V^2_* > 0$$

Since $g_\sigma$ is odd, this can be rewritten as:

$$g'_\sigma(V^3_*) < \frac{1}{V^2_*} \text{ or } g'_\sigma(V^3_*)V^2_* < 1$$

Let us compare the necessary and sufficient condition given by theorem 4.2 for the stability of the origin with the uniqueness condition for the general case (theorem 2.3). When $T$ is defined according to (6), the $v^\mu$’s being stationary solutions of (1) and therefore $v^\mu(x) \in \{V_*, 0, -V_*\}$, we have:

$$|T(x, y)| \leq \frac{pV^2_*}{|K|} = M.$$ 

In this case the condition for the origin to be the only solution is that $\sigma < \frac{1}{\sqrt{MV^2_*}} = \frac{1}{p\sqrt{V^2_*}}$. This is more restrictive than what follows from theorem 4.2. Therefore, for the case of orthogonal memories there exists an intermediate range for the values of $\sigma$ ($\sigma \in \left[ \frac{1}{p\sqrt{V^2_*}}, \frac{1}{\sqrt{MV^2_*}} \right]$), which degenerates into a point if $p = 1$ for which the trivial solution $v \equiv 0$ is an attractor, but not necessarily the only solution of (1). Note, in addition, that the conditions derived in theorems 4.1 y 4.2 are independent of $p$ (number of memories); this is a direct consequence of the orthogonality of the memories.
5 Basins of attraction

On the base of the preceeding results, we can now estimate the size of the basins of attraction.

**Theorem 5.1:** for \( p \geq 2 \), the largest sphere contained in the basin of attraction of an orthogonal memory \( v^\mu \), \( 1 \leq \mu \leq p \), has a radius \( k = V_s \sqrt{|K|/2} \).

In other words, whenever \( \| v^\mu - v_0 \| < k \), the distance \( \| v^\mu(\cdot, t) - v(\cdot, t) \| \to 0 \) when \( t \to \infty \) (being \( v_0 \) any initial condition for (1) and \( v \) the corresponding solution).

**Proof:** the radius of the basin will be the largest number \( k > 0 \) such that

\[ D_w H(\nu^\mu + kw) > 0 \quad \forall w \in S, \| w \| = 1. \]

We know that

\[ D_w H(v^\mu + kw) = -\frac{1}{|K|} \sum_{\nu=1}^p (v^\nu, v)(v^\nu, w) + (g^{-1}_\sigma(v), w) \]

Then:

\[ D_w H(v^\mu + kw) = -\frac{1}{|K|} \left\{ \frac{V^2_s}{|K|} (v^\mu, w) + k \sum_{\nu=1}^p (v^\nu, w)^2 \right\} \]

\[ + (g^{-1}_\sigma(v^\mu + kw), w) \]

which is positive if and only if

\[ (g^{-1}_\sigma(v^\mu + kw), w) > \frac{1}{|K|} \left\{ \frac{V^2_s}{|K|} (v^\mu, w) + k \sum_{\nu=1}^p (v^\nu, w)^2 \right\} \]

for every direction \( w \). By virtue of Bessel’s inequality:

\[ \sum_{\nu=1}^p (v^\nu, w)^2 \leq \| w \|^2 = 1 \]

and, consequently (remembering that \( \| v^\nu \|^2 = V^2_s |K| \)), the condition is satisfied by imposing

\[ (g^{-1}_\sigma(v^\mu + kw), w) > (g^{-1}_\sigma(v^\mu), w) + kV^2_s \]

or equivalently

\[ \int_K \frac{g^{-1}_\sigma(v^\mu(x) + kw) - (g^{-1}_\sigma(v^\mu(x))/w(x) \, dx > V^2_s \quad (7) \]

In order to prove that inequality (7) holds no matter the direction \( w \), let us take the worst case: \( w \) pointing to a different memory, say \( v^\nu \), i.e. \( w = \frac{v^\nu - v^\mu}{\|v^\nu - v^\mu\|} \). It is easy to check that \( v^\nu - v^\mu \) can take only values 0 and \( \pm 2V_s \) and that, by virtue
Two orthogonal memories and their inverses, each one with norm $V_\ast \sqrt{|K|}$ and a spherical basin of attraction of radius $V_\ast \sqrt{|K|/2}$.

of the orthogonality, it is 0 exactly on one half of the domain $K$ and $\pm 2V_\ast$ on the other half. Then $w$ is 0 on a subdomain of size $|K|/2$ and $2|K|/|K|$ on the remaining subdomain of equal size. Thus, condition (7) may be rewritten as

$$\left| \frac{K}{2} \right| \frac{g^{-1}_\sigma (v^\mu (x)) + k \sqrt{\frac{2}{|K|}} - (g^{-1}_\sigma (v^\mu (x)) \frac{2}{|K|}} > V_\ast^2$$

(multiplying numerator and denominator by $\sqrt{\frac{2}{|K|}}$), which holds if

$$k \sqrt{\frac{2}{|K|}} < V_\ast \iff k < V_\ast \sqrt{\frac{|K|}{2}}$$

Finally, the largest spherical basin of attraction has a radius equal to $V_\ast \sqrt{|K|/2}$, since otherwise the basins would not be disjoint, because the distance between any two $v^\mu$ and $v^\nu$ is twice that quantity.

Note that this result does not imply that the basins of attraction are spherical. It only limits the radius of spherical basins for memories $v^\mu$ and, consequently, for $-v^\mu$ as well. Figure 2 shows a simplified bidimensional sketch.
6 Spurious memories

As a consequence of the nonlinearity of the dynamics under consideration, undesired fixed points appear in addition to those purposely stored in the synaptic operator $T$ with the Hebb prescription. These are called spurious states or spurious memories.

It is possible to distinguish two types of spurious states: mixture and non-mixture memories. $v$ is said to be a mixture state if it can be expressed as a linear combination of the stored memories: $v = \sum_{\mu=1}^{q} \alpha_\mu \varphi^\mu$ with $q \leq p$, $\varphi^\mu \in \{\varphi^\mu\}$ and $\alpha_\mu$ real constants. A spurious state for which no such $\varphi^\mu$ exists is called a non-mixture state.

6.1 Mixture spurious states

First note that, just like in the known discrete models, for every memory $\varphi^\mu$, $-\varphi^\mu$ is also a memory. In the simple case when $p = 1$, there exist only two spurious states: the origin ($v = 0$) and the inverse of the (unique) stored memory. Thus, there are no non-mixture states for $p = 1$. If $p \geq 2$, the analysis gets considerably harder.

We have already mentioned the fact that every mixture state is a fixed point if $v(x) \in \{V_+, -V_+, 0\}$ $\forall x \in \omega$ This may be easily seen either by using the linearity of $h^\varphi$ or from the proof of theorems 4.1 and 4.2. It is also clear that only a small subset of $\text{span} \{\varphi^\mu\}_{\mu=1}^{p}$ contains spurious states. In particular, it follows that if $\varphi^\mu$ and $\varphi^\nu$ are memories, then $\pm \varphi^\mu \pm \varphi^\nu$ are fixed points of the dynamics. This implies that there exist at least $4\left(\frac{p}{2}\right)$ spurious (mixture) states. These are in general unstable, as stated by the following

Theorem 6.1: If $v$ is a mixture spurious memory and there exists $x \in K$ such that $v(x) = 0$, then $v$ is a saddle point of the dynamics.

Proof: let $v = \sum_{\mu=1}^{q} \alpha_\mu \varphi^\mu$, $1 \leq q \leq p$ (renaming memories if necessary). $v$ is piecewise constant (since so are the $\varphi^\mu$s, and there is a finite number of them). Therefore, if $v(x) = 0$ then it vanishes in a neighborhood $U$ of $x$ and it holds that $0 < \sum_{i=1}^{I} \alpha_\mu \varphi^\mu = - \sum_{j=1}^{J} \alpha_\mu \varphi^\mu$ at every point in $U$, being $\{\varphi^\mu\}_{i=1}^{I} \cup \{\varphi^\nu\}_{j=1}^{J} = \{\varphi^\mu\}_{\mu=1}^{p}$

Let us choose $w = \sum_{i=1}^{I} \alpha_\mu \varphi^\mu - \sum_{j=1}^{J} \alpha_\mu \varphi^\nu$ (we can neglect the normalizing constant). Then $w = 2 \sum_{i=1}^{I} \alpha_\mu \varphi^\mu$ in $U$. Now compute

$$g_\sigma(h^\varphi + \varepsilon w(x)) = g_\sigma(h^\varphi(x) + \varepsilon h^w(x))$$
Keeping in mind that \( h^\mu(x) = V^2_\mu v(x) \) (by hypothesis and by virtue of the linearity of \( h^\mu \)) and computing

\[
h^\mu(x) = \sum_{\mu=1}^{q} \alpha_\mu h^\mu(x) = V^2_\mu \sum_{\mu=1}^{q} \alpha_\mu v^\mu(x) = 2V^2_\mu \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i}(x)
\]

(which holds for every \( x \in U \)), we obtain

\[
g_\sigma(h^{\mu+\varepsilon w}(x)) = g_\sigma(V^2_\mu v(x) + 2\varepsilon V^2_\mu \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i}(x))
\]

in \( U \). The dynamics at \( v + \varepsilon w \) is (always in \( U \subset K \)):

\[
\frac{\partial (v + \varepsilon w)}{\partial t} = -2\varepsilon \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i} + g_\sigma(2\varepsilon V^2_\mu \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i})
\]

No matter the sign of \( \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i} \), the stability condition at \( v + \varepsilon w \) is \( g_\sigma(2\varepsilon V^2_\mu \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i}) < 2\varepsilon \sum_{i=1}^{I} \alpha_{\mu_i} v^{\mu_i} \) which is equivalent to \( \sigma = g_\sigma'(0) < \frac{1}{V^2_\mu} \) which is false since, by hypothesis, \( g_\sigma(\pm V^2_\mu) = g_\sigma(V^2) = \pm V_\mu \) (otherwise, \( g_\sigma \) would not have any fixed point apart from the origin). Then \( v \) is unstable in the direction \( w \).

Now let us choose \( w = v \). With a similar reasoning, we get \( g_\sigma(h^{\mu+\varepsilon w}(x)) = g_\sigma(V^2_\mu v(1+\varepsilon)) \) and the stability condition at \( v + \varepsilon w \) is \( g_\sigma(V^2_\mu v(1+\varepsilon)) < v(1+\varepsilon) \).

Remembering that \( v = g_\sigma(V^2_\mu v) \), the condition for the system to be stable at \( v + \varepsilon w \) is \( g_\sigma'(V^2_\mu v) < \frac{1}{V^2_\mu} \), which always holds since the memories \( v^\mu \) are minima of \( H \) (cf. Section 2).

This leads to the useful

**Corollary 6.2:** The basins of attraction for mixture states have zero radius, in the sense of the \( L^2(K) \) norm.

**Example:** for \( q = 2 \), all combinations of the form \( v = \pm \frac{1}{2} v^\mu \pm \frac{1}{2} v^{\mu'} \) are actual spurious states and the situation can be easily illustrated (Figure 3). The direction of maximum unstability is given by \( v^\mu - v^{\mu'} \) and that of maximum stability is \( \pm v \) (directly towards or from the origin of coordinates).

### 6.2 Non-mixture spurious states

Unlike mixture spurious states, which can be calculated analytically, the non-mixture ones are difficult to find. Indeed, in the limit \( p \to \infty \), the following property holds, no matter how \( T \) is defined.
Lemma 6.3: if \( \{ \psi^\mu \}_{\mu=1}^\infty \) is complete\(^2\) and \( p \to \infty \), there are no non-mixture spurious memories.

Remark: since \( S=\mathbb{L}^2(K) \) here, a question about the meaning of lemma 6.3 may arise, i.e. is there some basis of \( \mathbb{L}^2(K) \) whose elements take on only two values, say \( \pm V_* \)? The answer is yes. The relevant example for \( K \subset R \) (that can be extended to \( R^n \)) are the Haar wavelets, which form an orthonormal and complete basis in \( \mathbb{L}^2(-\infty, +\infty) \). They are bi-valued, but since they are normalized, such values change from one function to another. If normality is relaxed, it is possible to force them to take values in \( \{ V_*, -V_* \} \). If restricted to a bounded interval \( K \subset R \), they form an orthogonal (but not orthonormal) and complete set in \( \mathbb{L}^2(K) \). However, if we construct \( T \) according to (6), this completeness can be only asymptotical: as we already saw, the number of orthogonal memories can be as large as desired, but it cannot be infinite.

7 Back to the discrete domain

In order for the results of Section 2 to be valid, the only condition on \( X \) is to be a metric space (continuous or discrete). Therefore, all theorems of Section 2 hold for the discrete Hopfield model with continuous ranges of activity [7], provided that we replace the \( \mathbb{L}^2(K) \) norm with the usual euclidean norm and \( |K| \) with \( N \) (number of neurons).

As for the results of Section 3, the situation is different. Clearly, theorem 3.1 is no longer true and the same happens, in general, for lemmas and corollaries

\(^2\)The set \( \{ \psi^\mu \} \) is said to be complete in \( \mathbb{L}^2(K) \) if and only if the minimal subspace of \( \mathbb{L}^2(K) \) which contains it is the entire space \( \mathbb{L}^2(K) \).
based on the possibility of memories with an unbounded number of discontinu-
ities, such as corollary 3.3 (which has no meaning for the discrete case). Instead,
results concerning stability (Section 4) and size of attraction bas ins (Section
5) remain valid, with slight changes. The same is true for Section 6 (spurious
states), except for theorem 6.3 (non-mixture spurious memories), which has no
meaning for the discrete model.

Concerning the Hopfield model with discrete activities [6], first it must
be remarked that its metrics based on the Hamming distance is not euclidean.
However, being this metrics the discrete version of the $L^1$ norm, which is equiv-
alent\textsuperscript{3} to the quadratic $L^2$ norm, some results remain qualitatively true. This is
the case for theorems 2.2 and 2.4 and corollary 2.5 (as it is well known), but the
mathematical tools used here are of no help to obtain them. And, on the other
hand, the results of Sections 3 to 6 have no meaning in general (when based
on concepts of euclidean distance and directional derivatives, which are of no
application in the discrete case).

8 Conclusions

We introduced a neural network with associative memory in which processing
units are elements of a continuous metric space. This approach is intended as a
generalization of the previous ones due to Little and Hopfield. Our main pur-
pose was to provide a mathematical foundation in the sense that it is actually
possible to formulate a system of associative memory with graded response units
and a continuous topological structure on the set of such units, obtaining a more
biologically plausible model of associative memory. This general aim is what distin-
guishes the present proposal from other ones introduced in the literature and
closely related to it, especially that of MacLennan [10][11] (see the Introduction).

On the other hand, our approach preserves the salient features that made
attractive all the discrete models, especially the levels of continuity that the
second Hopfield model [7] added to the discrete one [6]: graded response of the
activation functions and continuous scale of time, via the transition from discrete
to continuous, differential equation dynamics.

Firstly (Section 2) general results were proved assuming only a symmet-
ric weight matrix $T$ with non-negative diagonal elements. These results are
generalizations of well known properties of discrete, Ising-type models.

Then (Sections 3 to 6) we analyzed the case when the memories are or-
thogonal and the synaptic operator is constructed following the autocorrelation
(Hebb) rule. We proved:

- **Hebb rule**: it can be naturally extended to the infinite dimensional case.

- **Capacity**: any finite set of orthogonal memories can be stored and re-
  trieved. However there are, concerning capacity, some differences with
  regard to discrete approaches.

\textsuperscript{3}Two norms $\| \cdot \|$ and $\| \cdot \|'$ on a vector space $V$ are said to be equivalent norms if there
exist positive real numbers $c$ and $d$ such that $c \| x \| \leq \| x \|' \leq d \| x \| \forall x \in V$. 

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• **Stability:** there exist necessary and sufficient conditions for the memories and the origin to be stable, in terms of the relation between parameters of the transfer function \( g_x \).

• **Basins of attraction:** they have the same radius for all memories, positive in the \( L^2 \) norm.

• **Spurious memories:** they exist. If a spurious state vanishes at some point, then its basin of attraction has zero radius with the \( L^2 \) norm and it is a saddle point of the dynamics.

We discussed also the validity of these results when applied to the classical, discrete models of associative memory [6][7]. Such application looks more natural for the Hopfield model with graded response [7], since in this case the concepts of euclidean distance and directional derivatives remain valid, while in the discrete case [6] only some general, well known properties (concerning stability and convergence to attractors) are preserved, maintaining anyhow the qualitative similarity with the infinite dimensional system.

We think that this approach can be useful for modelling in biology and neurophysiology. It retains all the stylized facts that have made attractive the Hopfield neural network model and its modifications, yet giving the possibility of modelling the brain cortex as a continuous space. In other words, it integrates two levels of continuity:

• Continuous response units, which was already present in [7] and permits description of relevant neural activity by firing rates, rather than merely by the presence or the absence of an individual spike (although nowadays, as pointed out in the Introduction, it is widely accepted that spiking neurons have also important properties for describing certain aspects of neural dynamics).

• Continuous topology of the neural system, obtaining a model of associative memory that reconciles biological evidence of a continuum of the neural tissue with descriptions provided by discrete models inspired in Ising systems.

In addition, the results proved here can also be useful, with the limitations pointed out in Section 7, when performing the reverse track of what we have done, namely when reconsidering the discrete case in the light of the knowledge of what happens if the state space is continuous.

**References**